

INVESTIGATING GENETIC SUSCEPTIBILITY TO *RHODOCOCCUS EQUI*

A Dissertation

by

COLE MATTHEW MCQUEEN

Submitted to the Office of Graduate and Professional Studies of
Texas A&M University
in partial fulfillment of the requirements for the degree of

DOCTOR OF PHILOSOPHY

Chair of Committee,
Co-Chair of Committee,
Committee Members,
Intercollegiate Faculty Chair,

Noah Cohen
Scott Dindot
Allen Roussel
Jason Sawyer
Craig Coates

December 2015

Major Subject: Genetics

Copyright 2015 Cole McQueen

ABSTRACT

Rhodococcus equi causes pneumonia and extrapulmonary disorders in foals and other immunocompromised animals including people. Although exposure to virulent *R. equi* is widespread in the environment of foals, only a small proportion of foals develop *R. equi* pneumonia at affected farms. It remains unclear why some foals develop disease while other exposed foals do not. Anecdotal evidence suggests there may be an underlying genetic predisposition to disease resulting from *R. equi* infection and the genetic contributions of the host remain ill-defined.

A genome wide association study (GWAS) examining single nucleotide polymorphisms (SNPs) and copy number variants (CNVs) to identify regions of the genome contributing to disease was performed. Although no associations were made with CNVs, a set (*viz.*, four) of SNPs were significantly associated with *R. equi* pneumonia. The SNPs identified localized to a region on chromosome 26 containing a potential candidate gene. The transient receptor potential cation channel, subfamily M, group 2 (TRPM2) gene is known to play a critical role in neutrophil recruitment and the severity of tissue damage at sites of inflammation. One associated SNPs in the GWAS is located within this gene and was used, via PCR, to validate the GWAS finding in a joint analysis. Joint analysis revealed a 3- to 4-fold increase in odds of disease for individuals homozygous for the SNP identified in TRPM2. This finding was consistent across each clinical group compared.

RNA-Seq was performed to further investigate this region, as well as identify others across the genome, and understand the functional implications on gene expression that may be marked by the identified SNPs. Each *TRPM2* genotype was represented (*viz.*, AA, AB, BB) in the 12 horses that were donors for sequencing. RNA-Seq analyses identified several novel transcripts across the *TRPM2* region; however, none were differentially expressed in relation to the *TRPM2* genotypes. Several genes identified as being differentially expressed were linked through pathway analysis which further implicated innate immunity as being a critical player in the pathogenesis of *R. equi* pneumonia. Further studies are required to identify targets and practices for the control and prevention of *R. equi* pneumonia in foals.

DEDICATION

I would like to thank my wife, children, and parents for their continuous support and encouragement throughout my pursuit of a doctoral degree.

ACKNOWLEDGEMENTS

I would like to thank my committee chair, Dr. Cohen, and my committee members, Dr. Dindot, Dr. Roussel, and Dr. Sawyer, for their guidance and support throughout the course of this research.

I also want to extend my gratitude to the following: the United States Department of Agriculture, the LINK Equine Endowment, the Department of Large Animal Clinical Sciences, the College of Veterinary Medicine, the Interdisciplinary Department of Genetics, the Morris Animal Foundation, and the 6666 Ranch. All the above listed entities provided in some form: funding, travel, samples, and educational support for my education. Also, I would like to thank the Texas A&M Institute for Genome Sciences and Society for computing and technical support.

Thanks also go to my friends and colleagues and the department faculty and staff for making my time at Texas A&M University a great experience.

TABLE OF CONTENTS

	Page
ABSTRACT	ii
DEDICATION	iv
ACKNOWLEDGEMENTS	v
TABLE OF CONTENTS	vi
LIST OF FIGURES.....	viii
LIST OF TABLES	ix
CHAPTER I INTRODUCTION: GENETIC SUSCEPTIBILITY TO <i>RHODOCOCCUS EQUI</i>	1
Introduction	1
Literature search.....	2
Candidate genes.....	3
Genome-wide association studies	9
Copy number variants	15
Next generation sequencing techniques	21
Conclusisons.....	27
CHAPTER II IDENTIFICATION OF GENOMIC LOCI ASSOCIATED WITH <i>RHODOCOCCUS EQUI</i> SUSCEPTIBILIY IN FOALS.....	29
Introduction	29
Materials and methods	31
Ethics statement.....	31
Study population.....	32
DNA samples and isolation.....	34
SNP genotyping and data analysis	34
Joint analysis	35
CNV detection and analyses.....	37
Results	38
SNP-based GWAS	38
CNV-based GWAS	46
Discussion	48
Conclusions	54

CHAPTER III USE OF RNA-SEQUENCING TO INVESTIGATE FUNCTIONAL IMPLICATIONS OF <i>RHODOCOCCLUS EUQI</i> ASSOCIATED GENTOYPES	55
Introduction	55
Materials and methods	57
Study population.....	57
Sample collection and RNA-Seq.....	58
Gene expression analysis for genotypic and phenotypic comparisons (targeted and untargeted)	59
Results	64
Transcriptome-wide differential gene expression (untargeted analysis).....	64
Targeted analysis	67
Discussion	70
Biological implications.....	70
Differential gene expression analyses	72
Limitations.....	73
CHAPTER IV CONCLUSIONS	75
REFERENCES.....	77
APPENDIX 2.1	90
APPENDIX 2.2	101
APPENDIX 2.3	102
APPENDIX 2.4	103
APPENDIX 3.1	104

LIST OF FIGURES

	Page
Figure 1.1. Association studies, CNVs, and SNPs	11
Figure 1.2. Comparative genomic hybridization method to identify CNVs in horses	18
Figure 1.3. RNA-Seq flowchart	24
Figure 2.1. GWAS study population	39
Figure 2.2. GWAS Manhattan plots	42
Figure 3.1. Results of the pathway analysis	65
Figure 3.2. Bedgraphs representing RNA-Seq coverage of <i>TRPM2</i> for each genotype	66

LIST OF TABLES

	Page
Table 1.1. Genetic studies of <i>Rhodococcus equi</i> pneumonia	4
Table 2.1. Moderately associated SNPs for each genome-wide association study	43
Table 2.2. Joint analysis of <i>TRPM2</i> SNP UKUL3936	45
Table 2.3. CNVs identified in each clinical group	47
Table 2.4. Top 5 CNV regions identified using logistic regression for the association of <i>R. equi</i> with either the binary variable presence or absence of a CNV identified in the region (Presence column) or the log ₂ ratio of intensity values of the CNVs (Intensity column).....	47
Table 3.1. Transcriptome-wide differentially expressed genes identified by <i>edgeR</i> analysis.....	62
Table 3.2. Transcriptome-wide differentially expressed genes identified by <i>cuffdiff</i> analysis.....	62
Table 3.3. Novel <i>TRPM2</i> transcripts pairwise comparisons.....	70

CHAPTER I

INTRODUCTION: GENETIC SUSCEPTIBILITY TO *RHODOCOCCLUS EQUI**

Introduction

Rhodococcus equi pneumonia is an important disease of foals most commonly characterized by chronic progression associated with development of large pulmonary abscesses¹. Treatment of *R. equi* pneumonia is prolonged and expensive, and prevention is limited because transfusion of hyperimmune plasma is incompletely effective², chemoprophylaxis is inconsistently effective^{3,4} and may promote antimicrobial resistance⁵, and no effective vaccine is currently available⁶. Isolates of *R. equi* that are virulent in foals express the virulence-associated protein A (VapA), which is encoded by a gene, located within a pathogenicity island, on an approximately 85- to 90-kilobase (kb) plasmid. Expression of VapA alone however is not sufficient to cause disease^{7,8}. Many different strains of virulent (and avirulent) *R. equi* have been shown to be present in a common environment (*i.e.*, the same farm), and multiple genotypic virulent strains may exist even in an individual foal with *R. equi* pneumonia^{5,9-11}. Although exposure to *R. equi* is widespread at farms where foals are affected, only a variable proportion of foals will develop clinical disease at a given farm whereas other foals at the same location will not develop disease^{12,13}. In addition, anecdotal reports by

*Reprinted with permission from “Genetic Susceptibility to *Rhodococcus equi*” by McQueen et al., 2015. *JVIM*, doi: 10.1111/jvim.13616, 2015 by CM McQueen.

veterinarians indicate that some mares recurrently have affected foals whereas other mares from the same environment consistently have foals that do not develop *R. equi* pneumonia. Taken together, these findings support the possibility of an important role for a genetic predisposition (*i.e.*, susceptibility, resistance, or tolerance) to development of *R. equi* pneumonia and have prompted investigations of the genetic basis for this disease. Pneumonia caused by *R. equi* is a complex trait. Thus, it is unlikely that it will have a monogenic basis. Nevertheless, studying the genetic basis of *R. equi* pneumonia is important because it could reveal information about crucial biological processes and pathways that influence the outcome of infection in foals, and identifying these pathways and processes might consequently lead to novel approaches for diagnostic testing, treatment, control and prevention. The purpose of this report is to review what is known about genetic predisposition to pneumonia caused by *R. equi* in foals and describe genetic techniques currently available to study the genetic determinants of development of *R. equi* pneumonia or other diseases in horses. We begin by summarizing the current literature regarding genetic associations with *R. equi* pneumonia, and then discuss some more advanced genetic tools available for future studies to further investigate the genetic basis of *R. equi* pneumonia.

Literature search

A literature search was conducted to identify English language studies from any year that focused on foals, *R. equi*, and genetics. Databases were searched in April 2014 through Ovid including CAB Abstracts, MEDLINE, Embase, and BIOSIS. Search words

included (foals or equus or equine) and (r equi or *Rhodococcus equi**) and gene*, where the asterisk indicates truncation. Within each database, appropriate subject headings or index terms also were added. A total of 744 articles were retrieved and de-duplicated, with 5 articles selected for inclusion. This search was updated in September 2014.

Candidate genes

We identified 5 studies that attempted to identify genes associated with *R. equi* pneumonia. Four of these 5 studies have utilized a candidate gene approach (Table 1.1). The candidate gene approach involves either use of prior knowledge pertaining to known gene functions that might predispose to the disease of interest (*e.g.*, the interferon-gamma pathway and *R. equi* pneumonia)^{14,15} or use of genes implicated in other but similar diseases that could be potential candidates for involvement (*e.g.*, genes important for *Mycobacterium tuberculosis* which, like *R. equi*, is a gram-positive, facultative intracellular organism that replicates primarily within macrophages and causes pneumonia and could be potential candidates for *R. equi* pneumonia)¹⁶⁻¹⁸. To the authors' knowledge, the first candidate gene association study of *R. equi* pneumonia compared the frequencies of single nucleotide polymorphisms (SNPs) in the transferrin gene (*Tf*) among Thoroughbred foals from Kentucky that died of *R. equi* pneumonia with those of control Thoroughbred mares¹⁹. In one study, the *Tf* gene was selected on the basis of its product's ability to bind iron because iron sequestration is a known

Table 1.1. Genetic studies of *Rhodococcus equi* pneumonia.

Author	Study Design	Country	Breed(s)	Number of Horses	Markers Investigated	Observed Outcome	Findings
Mousel <i>et al.</i>,	Candidate gene	USA	Thoroughbred	N = 84	TfSNPs	Clinical pneumonia or control	Allelic association of <i>Tf</i> with disease
Horin <i>et al.</i>,	Candidate gene	Czech Republic	Thoroughbred	N = 51	SNPs, Microsatellites	Burden of <i>R. equi</i> in TBA fluid	Association of <i>IL1RN</i> and <i>IL1β</i> with burden of <i>R. equi</i>
Halbert <i>et al.</i>,	Candidate gene	USA	Arabian and Thoroughbred	N = 103	SLC11A1 SNPs	Clinical pneumonia or control	Variation in <i>SLC11A1</i> associated with disease
Horin <i>et al.</i>,	Candidate gene	Czech Republic	Thoroughbred	N = 51	SNPs	Burden of <i>R. equi</i> in TBA fluid	Association of <i>IL7R</i> with burden of <i>R. equi</i>
McQueen <i>et al.</i>,	GWAS	USA	Quarter Horse	N = 72 N=248	Genome wide SNPs	Clinical and Subclinical pneumonia, or control	Associated SNP in <i>TRPM2</i> with disease

host defense mechanism against bacterial replication^{20,21}. The authors postulated that polymorphisms in the *Tf* gene might result in enhanced (or decreased) iron binding, which then could confer a selective advantage (or disadvantage) to survive infections with bacteria such as *R. equi*¹⁹. The authors used SNP frequencies to infer *Tf* alleles present within the study population, and allele frequencies were subsequently compared between the case and control groups. The authors documented a significant ($P < 0.05$) abundance of the *Tf F* allele and a deficiency of the *D_I* allele among the cases (diseased foals) when compared with controls. Limitations of this study included the fact that sample size was relatively small, it was restricted to a single breed, a separate population for validation was not included, and no mechanistic studies (*i.e.*, documentation that the *F* allele was associated with decreased iron-binding) were incorporated or cited. Nonetheless, a significant association of SNPs in the *Tf* gene with *R. equi* pneumonia was demonstrated, and this finding represented an important advance in knowledge.

A later study seeking to identify a genetic predisposition to *R. equi* pneumonia utilized the candidate gene approach by comparing frequencies of 22 genetic markers among 51 Thoroughbred foals from the Czech Republic²². These markers were either SNPs or polymorphic microsatellites in or near immune-related genes that had been previously identified (except for 5 markers that were first identified in this study). No genetic variants were significantly associated with *R. equi* pneumonia, but some genetic variants were significantly associated with a higher burden of *R. equi* in tracheobronchial aspirate

(TBA) fluid from foals. Specifically, loci on chromosome 10 and 15 were associated with *R. equi* infection when comparing the subset of foals with extreme phenotypes (*i.e.*, foals with the highest numbers of *R. equi* in TBA fluid) to those with no *R. equi*. The strongest association with TBA fluid phenotype was for the microsatellite HMS01 located on chromosome 15 which encodes the genes for interleukin (IL)-1 β (*IL1 β*) and the IL-1 receptor antagonist (*IL1RN*). Although the associations were relatively weak and the phenotype was for burden of *R. equi* in TBA fluid (rather than for pneumonia caused by *R. equi*), these results offer further evidence of a genetic basis for host response to infection with *R. equi*.

A third study from our laboratory utilized previous findings indicating association between the solute carrier family 11 member 1 gene (*SLC11A1*) and susceptibility to intracellular bacterial infections in other species of animals²³⁻²⁶. The *SLC11A1* gene encodes a protein relevant to innate immune responses to intracellular bacteria^{27,28}. Direct sequencing of the beginning of the gene transcript (*i.e.*, the 5' end of the gene) was used to identify SNPs that were compared between cases of *R. equi* and unaffected foals (controls) among Arabian horses at 2 farms (1 farm in Texas and 1 farm in Arizona). A novel SNP, -57T, in the 5' untranslated region (UTR) was significantly associated with *R. equi* pneumonia²⁹. The authors further screened for this polymorphism in 5 domestic horse breeds, donkeys, and zebras, and found it was represented in 4 of the 5 horse breeds. The observation that this SNP was represented across multiple breeds strengthened the study's findings because if a marker were

present in only 1 breed, it would be possible (if not probable) that the identified marker was more likely associated with breed differences than disease. Limitations of this study included the fact that association between the candidate gene and disease was only assessed within a single breed at 2 farms, and no validation of the association in another population was conducted. Additionally, inconsistencies in diagnostic practices for *R. equi* pneumonia among farms in the study existed, which might have impacted the results²⁹.

A fourth candidate gene study investigated the frequency of SNPs in selected immune response genes from DNA samples collected from 31 Thoroughbred foals from the Czech Republic³⁰ that had been used in a previous candidate gene study (described above)²². The candidate markers were used to assess allele frequencies between groups of foals classified on the basis of a binary outcome using a cut-point of > 5,000 colony forming units (CFU)/mL of *vapA*-positive *R. equi* in TBA fluid. Twenty-five foals were categorized as below the cut-point because they had no bacteria cultured from them, and 6 were categorized as above the cut-point³⁰. An association was identified between a SNP in the IL-7 receptor (*IL7R*) gene and the presence of > 5,000 CFU of *R. equi* in TBA fluid. Limitations of this study included lack of a validation population in which the association could be replicated, and, similar to the earlier study using these foals, the association was not made between the marker and disease but rather between the marker and the concentrations of bacteria present in TBA fluid. Regardless of these limitations, this study was scientifically important in that it implicated the *IL7R* gene in particular,

and innate immunity in general, as having a role in host response to infection with *R. equi*.

The candidate gene approach is a valid method for genetic investigation and yielded positive associations in the aforementioned studies, strengthening the plausibility of a genetic contribution to susceptibility or resistance to *R. equi* pneumonia in foals.

Moreover, the commonality of identifying innate immune responses as playing a role in host defense against infection with *R. equi* in these various candidate gene association studies is important to our understanding of the pathogenesis of *R. equi* pneumonia.

Despite these positive results, the candidate gene approach has important limitations for making genetic associations. Bias is introduced into the study design by selecting a small number of genes for evaluation, on the basis of either function of the gene product(s) or prior association of the gene with disease. This selection process effectively eliminates the ability of the investigators to examine both the enormous amount of genetic information in the remainder of the genome or the relationship and interaction of other genes with the genes of interest¹⁷. Other genetic elements present in the genome (*e.g.*, sites critical to gene regulation) are missed by restricting analysis to candidate genes, because in most cases probes used to detect variation are not near each other and offer no information about neighboring genetic variation. Assessing variation across the genome circumvents these limitations of the candidate gene approach.

Genome-wide studies in horses are now feasible because of recent technological developments.

Genome-wide association studies

Genome-wide association studies (GWASs) rapidly gained popularity after the sequencing of the genomes of several animal species, including human beings³¹⁻³⁴. The completion of the sequencing and assembly of these reference genomes (an assembly of the DNA sequence and its chromosomal locations representing the genetic baseline of a species) provided a tool that could be used as a map indicating where elements of the genome reside. Substantial efforts then were made to catalogue the locations of genes and genetic variation identified within these species^{35,36}. Single nucleotide polymorphisms proved useful for characterizing genetic variation among individuals of a given species, and the development of SNP array technology made it possible to perform > 1 million association tests simultaneously of markers across the entire genome without the expense or labor of genome sequencing.

Single nucleotide polymorphism arrays are glass slides with genomic probes (sequences of DNA) that capture SNPs present within a given species. Through a hybridization process, the probes bind DNA of samples to identify which polymorphisms are present in that sample³⁷. These SNP arrays enabled clinical researchers to compare clinically affected horses with unaffected controls so as to examine the association of various health disorders with markers on a genome-wide basis, and the interplay among different

genetic variants associated with disease³⁸. Results from a GWAS are readily identifiable because they typically are visualized by plotting the negative logarithm of the P value for the association of a given SNP with the outcome of interest as the ordinate (vertical axis) and the chromosome number as the abscissa (horizontal axis). The resultant scatter plots are known as Manhattan plots because they resemble the skyline of a major city with some points that tower over the majority of others. Determining the genome sequence of the domestic horse led to the development of 2 equine SNP arrays that could be used for GWAS by researchers^{32,39}.

Currently, a single SNP array has been developed, well characterized, made commercially available, and utilized in numerous GWAS in horses. For example, the EquineSNP70 BeadChip Array^a contains approximately 74,000 SNPs positioned across the equine genome that can be simultaneously tested to identify their associations with a phenotype of interest^{39,40}. Recently, a higher density SNP array with approximately 770,000 SNPs across the equine genome has been developed but has not been characterized in peer-reviewed literature to date. Several GWAS in horses using SNP arrays and yielding positive associations have been reported⁴¹⁻⁴⁶. Genome-wide association studies rely on observing different frequencies of alleles (identified by SNPs) that segregate with a phenotype of interest. These associations have identified regions of interest (Figure 1.1 A), which are further investigated to understand what elements

^a EquineSNP70 BeadChip ArrayIllumina, San Diego, California, USA

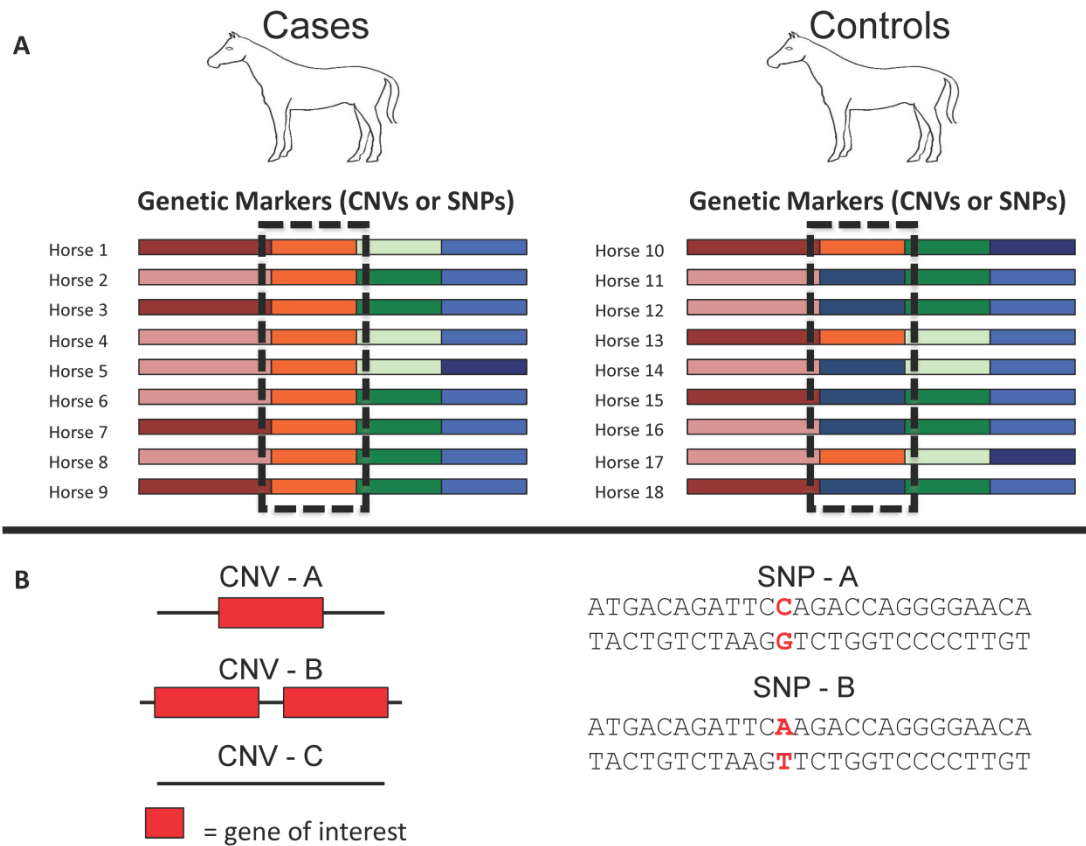


Figure 1.1. Association studies, CNVs, and SNPs. **(A)** The colored blocks indicate different alleles or haplotypes present in the horse genome. These have been identified by either a CNV or a SNP but any type of genetic variation can be used to identify alleles. The boxed regions show a greater frequency of the orange allele in the cases compared to the controls. The increased frequency of this allele in the cases suggests that it may harbor a variant(s) causing or contributing to the associated phenotype. **(B)** CNV – A represents a single copy of a gene; CNV – B represents a duplication of the gene; and, CNV – C represents a deletion of the gene. These examples demonstrate how CNVs can affect a single gene and can be used to identify different alleles in a population. SNPs, represented as red bases, offer the ability to identify alleles because of their polymorphic nature. Either type of genetic variation can be used in a GWAS to identify disease associated alleles.

(*e.g.*, genes, promoters, other variants), pathways or processes are associated with the phenotype.

The reason marker associations require region investigation is because of linkage disequilibrium (LD), which is defined as the non-random association of genetic information ⁴⁷. Linkage disequilibrium is a phenomenon that allows for the prediction of the non-genotyped genetic information around a genotyped marker because of an assumption that the genetic material around a marker differs and thus can be based on the allele represented by the marker (*i.e.*, SNP). The use of LD to make disease associations leverages inheritance patterns, selection, and evolution and is a fundamental concept underlying GWAS. The association of a marker, whether it is located in a gene or in a noncoding region of the genome, should only be treated as an indicator of the need to further investigate the area. An association of a SNP with disease neither indicates that the SNP is causally associated with the disease nor that the specific gene in which the SNP lies is the gene of interest. A SNP only indicates that there might be genetic variation in the area of the genome where the SNP is located. The size of the area of interest is largely described by the length of the LD (*i.e.*, the number of bases for which another gene or genetic element can be expected to be in LD with the marker). Using LD to assist in making associations is a powerful tool that is genome-wide and efficient because not all markers across a genome must be tested to find an associated region, should one exist. The power of LD allows fewer markers to be present on an array, and hence decreases the number of necessary test corrections. Furthermore, the

longer the LD of the species, the fewer SNPs are necessary to identify significant associations. Estimates of LD for breeds of horses are markedly longer than those for humans^{48,49}. Thus, one might expect to need fewer SNPs on an equine array to have the same discriminatory power as a human array or to have greater power in a GWAS for horses than humans for an array of the same size or density of SNPs.

Although SNP arrays are proving to be a powerful tool for investigating the relationship of genetic and phenotypic variation, challenges exist with validating and reproducing results generated by SNP-based GWAS. There are likely many contributing risk alleles for all complex traits and complex diseases such that no single allele can explain all of the phenotypic variation⁵⁰. This becomes problematic during replication using different breeds and populations because the markers identified might merely reflect breed differences, or the markers might represent different alleles conferring different levels of risk across breeds or populations. The number of association studies in equine genetics will only continue to increase and the equine research community will continue to face these challenges. Appropriate study designs, accurately defined and categorized phenotypes, and functional follow-up assays will be essential to maximize the utility of GWAS results in future studies⁵¹.

The first report of a GWAS with *R. equi* pneumonia recently was published by our laboratory⁵². The study⁵³ population included 248 foals born in 2011 at a large Quarter Horse breeding farm. For a separate study characterizing the accuracy of screening tests

for *R. equi* pneumonia, foals at the farm were examined by thoracic ultrasonography every 2 weeks beginning at 3 weeks of age and continuing through 19 weeks of age (or until weaned) to identify foals with areas of pulmonary consolidation or abscess formation attributed to *R. equi* infection. Farm personnel were blinded to the ultrasonographic findings and a separate team of individuals performed thoracic ultrasonography. Foals at the farm were classified as having *R. equi* pneumonia (N = 43; on the basis of clinical signs of pneumonia, isolation of virulent *R. equi* from the TBA fluid, cytologic evidence of sepsis in TBA fluid, and ultrasonographic evidence of pulmonary consolidation or abscess formation > 1 cm in maximal diameter), no pneumonia (N = 49; on the basis of absence of clinical signs of pneumonia and no ultrasonographic evidence of pulmonary consolidation or abscess formation > 1 cm diameter), and subclinical pneumonia (N = 156; on the basis of absence of clinical signs of pneumonia with ultrasonographic evidence of pulmonary consolidation or abscess formation > 1 cm diameter). From each of these 3 subpopulations of foals, a sample of 24 foals was randomly selected for genotyping using the EquineSNP70 BeadChip Array. Comparisons among the 3 groups identified a significant association of a region on chromosome 26 that included the gene for the transient receptor potential cation channel, subfamily M, member 2 (*TRPM2*).

These results are notable because the *TRPM2* gene is known to play a role in neutrophil function and recruitment. In a study using *TRPM2* knock-out mice and a model of ulcerative colitis, *TRPM2*-deficient mice had less tissue damage at sites of inflammation

than did wild-type mice⁵⁴. The association of the *TRPM2* was validated using polymerase chain reaction (PCR)-based genotyping of the locus in the remaining 176 study foals that were not tested using the SNP array. The principal limitations of this study were that only a single breed at a single farm was studied, and that no association of the genotype with function of the *TRPM2* gene-product or associated signaling pathways was identified. Nonetheless, this study is interesting in that, consistent with previous candidate gene studies, a gene related to innate immunity was associated with *R. equi* pneumonia, and the study provides further evidence of the underlying genetic basis for *R. equi* pneumonia.

Copy number variants

Although the genetic determinants of phenotypic variation are largely dependent on the gene or genes and the manner in which they exert their effect (e.g., altering the biochemical properties of a protein, changing the expression patterns or levels of messenger RNA), recent studies have implicated copy number variants (CNVs) as major determinants of phenotypic variation in humans and animals⁵⁵⁻⁵⁷. As the name implies, CNVs are characterized by changes in the number of copies of DNA between at least 2 individuals (Figure 1.1 B)⁵⁸. Their sizes can range from hundreds to millions of base-pairs (bps). Although they often are enriched in certain regions of the genome that predispose to their formation, CNVs have been detected throughout the genome, with many CNVs involving multiple genes, individual genes, or components of a single gene.

Several mechanisms have been shown to cause the formation of CNVs. During meiosis in the germ cells, homologous chromosomes align with each other to exchange genetic information between the parental genomes. This process, called homologous recombination or crossing over, plays an instrumental role in expanding the genetic diversity of a population. In rare instances however, the exchange of genetic material can occur between 2 different sites (non-allelic homologous recombination [NAHR]), resulting in an unequal exchange of genetic material ⁵⁹. Although NAHR often is the source of many disease-causing CNVs, this process plays a key role in the formation of gene families and the birth of new genes. Naturally-occurring DNA repair mechanisms also can delete or duplicate DNA. For example, the non-homologous end joining (NHEJ) and microhomology mediated end-joining (MMEJ) pathways are used to repair double-stranded DNA breaks that occur in the genome. During the repair of the breaks, the NHEJ and MMEJ pathways either add or remove DNA to ligate the broken strands back together ⁶⁰⁻⁶². Fork stalling and template switching (FoSTeS) is a mechanism used to circumvent a stalled replication complex during DNA synthesis. When this happens, the FoSTeS machinery identifies a similar sequence at a nearby replication site to re-engage the stalled complex, leading either to a deletion or duplication of the circumvented segment of DNA ⁶³. Microhomology-mediated break-induced repair is another mechanism believed to give rise to CNVs under stressed cellular conditions in which traditional break-induced repair does not occur and therefore homologous sequences are identified to continue replication ^{57,64}. Overall, there are numerous pathways and processes that can lead to the formation of a CNV.

Identification of CNVs across the genome has proven to be challenging because of the dependency on probe density to increase resolution and the physical limitation of the number of probes that can be placed on a single array. Array design technology continues to advance and undoubtedly will increase our ability to identify CNVs by enhancing genome resolution via probe density. Two studies in horses have used the EquineSNP70 BeadChip Array to search the equine genome for CNVs^{65,66}. The use of the equine SNP array to identify CNVs highlights the usefulness of the SNP array, but, there are limitations when SNP arrays are used solely for the purpose of identifying CNVs. The probes present on SNP arrays are often evenly distributed across the genome, thus spanning large distances and allowing only for the identification of large CNVs. The SNP arrays also are not well suited for identifying CNVs in structurally complex regions (e.g., gene families, segmentally duplicated regions). Probe design often is difficult in these regions, thus they are excluded from the array⁶⁷⁻⁶⁹.

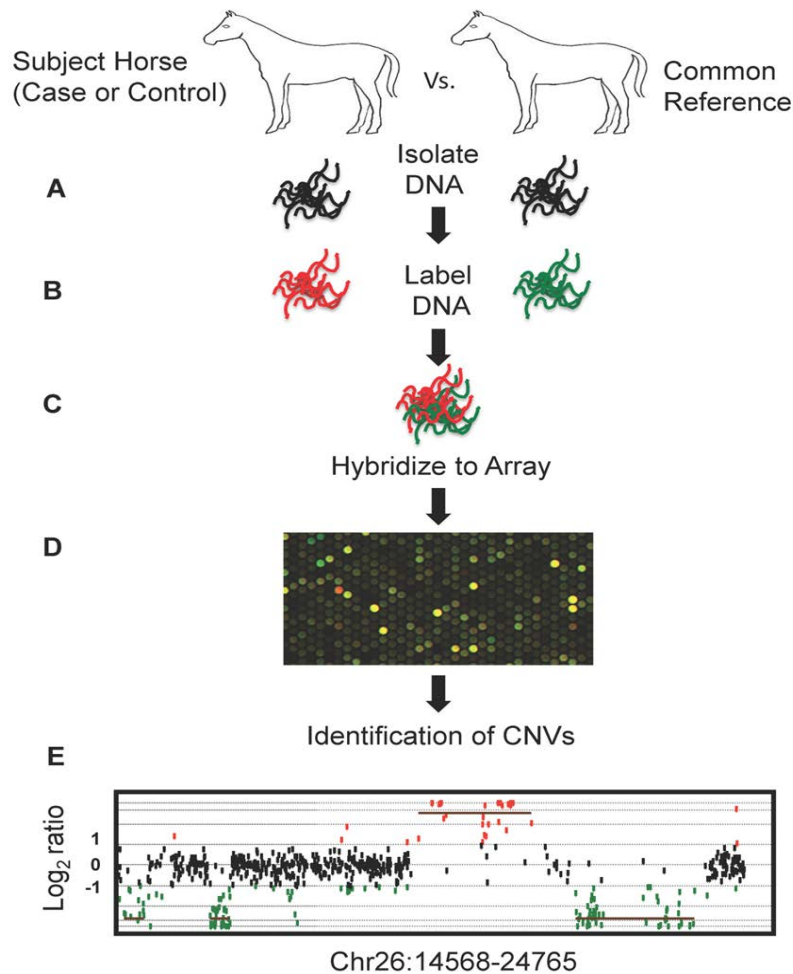


Figure 2. McQueen et al.

Figure 1. 2. Comparative genomic hybridization method to identify CNVs in horses. (A) Genomic DNA is isolated from subject horses (cases and controls) and a single reference horse. (B) Genomic DNA from the subject horses are independently labeled with a red dye and genomic DNA from a single reference horse is labeled with a green dye. (C) Labeled DNA from a single subject horse and the reference horse are mixed together at equal ratios and competitively hybridized onto a comparative genomic hybridization array. (D) Fluorescent image of array after hybridization of subject and reference DNA. The spots on the array represent individual oligonucleotides. Yellow spots reflect regions with equal DNA content, and red and green spots reflect unequal ratios of DNA between the subject and reference horse, respectively. (E) Plot of normalized log₂ ratios of oligonucleotides on the array. The Y-axis represents normalized log₂ ratios of fluorescent signals for each spot on the array. The X-axis represents the relative genomic coordinates of each oligonucleotide. For example, a log₂ ratio < 1 and > -1 (black dots) indicates equal DNA content between the subject and reference horses. A log₂ ratio > 1 and < -1 indicates unequal DNA content between the subject and reference horses.

Several studies have used technologies other than SNP arrays to identify CNVs in horses, such as next generation sequencing (NGS) and comparative genomic hybridization arrays (aCGH) ^{52,70-74}. Arrays for CGH are designed by tiling oligonucleotide probes across the genome to which DNA of interest then can be hybridized for identification of CNVs (Figure 1.2). Use of aCGH also has limitations for identification of CNVs, principally related to probe placement and density. The currently published equine arrays are a whole genome tiling array (*i.e.*, probes tiled across the whole genome) and an exon tiling array (*i.e.*, probes tiled across non-coding and coding exons of genes) ^{71,72}. Thus, these arrays only permit evaluation of CNVs within specific regions of the genome. The results of studies identifying CNVs by NGS are limited by variation in read-depth (*i.e.*, the number of copies of sequences aligned to a specific area) across the genome and the size of the CNVs identified. Specifically, CNVs of lengths ranging from 197 bp to 3.5 Mb have been identified and confirmed using a CGH array designed to identify CNVs in genes of the equine genome ⁷¹. In a subsequent study using NGS, CNVs ranging in length from 3.74 kb to 4.84 Mb were identified ⁷⁰. There is, however, a trade-off when using either approach. Targeted arrays can identify smaller CNVs, but they are only able to identify CNVs within regions targeted on the array. Conversely, NGS can be used to identify CNVs throughout the entire genome, but NGS approaches to identifying CNVs are limited because of their bias towards larger CNV size. Although there are discrepancies among the approaches used to identify CNVs, the studies to date have identified CNVs in genes involved in similar pathways, such as sensory perception, signal transduction, and immune related

pathways. Results from some CNV studies of horses also have found concordant results between aCGH and NGS whole genome sequencing in which CNVs of horses have been shown to be enriched in genes relating to sensory perception, signal transduction, and immune related functions ^{71,72}.

Our laboratory conducted a CNV-based GWAS by applying the aforementioned equine exon tiling array ⁷¹ to the 72 foals studied in our SNP GWAS ⁵². Although similar lengths and numbers of CNVs were observed in these foals as in the previous report using this array, no CNVs were significantly associated with *R. equi* pneumonia in these foals. This finding does not preclude the possibility that CNVs contribute to susceptibility to *R. equi* pneumonia because only CNVs within exons were considered. The CNVs located within other elements such as promoters and silencers that were not detected by the array might influence the odds of foals developing *R. equi* pneumonia. Moreover, sample size was small, which might have limited our ability to detect anything less than very strong associations. Future efforts should include the design and implementation of adequately powered studies using tiling arrays focused at gene promoters, gene expression enhancers, and other regulatory elements that are both near and within genes. Much remains to be investigated to characterize CNVs in horses and to study the role of CNVs in susceptibility to *R. equi* foal pneumonia and other diseases of horses. Because CNVs represent a change in genetic content (*i.e.*, deletions and duplications), they may have the potential to greatly impact many phenotypes. A 4.6-kb duplication in an intron has been associated with graying and melanomas in horses ⁷⁵. A

16.1-kb duplication has been shown to cause wrinkling of the skin in Shar-Pei dogs ⁷⁶. In humans, CNVs are believed to play critical roles in neurodevelopmental disorders, psychiatric disorders, and cancers ^{77,78}. A number of studies have described CNVs in cattle. Overall, the CNVs identified to date are enriched in genes related to immune function and sensory perception, which also has been observed in horses ⁷⁹.

Next generation sequencing techniques

The invention of next generation sequencing (NGS) technologies has opened a new world of opportunities for understanding genetic variation and its role in disease pathogenesis. The NGS technology has enabled rapid sequencing of the genomes of individuals at a low cost and with maximum genome coverage ⁴⁰. Before NGS, the gold standard for sequencing technology was automated Sanger sequencing ⁸⁰. Sanger sequencing technology was developed in the late 1970s and later automated to increase throughput ⁸¹. Next generation sequencing technologies differ among companies, but they all share a principal advantage over Sanger sequencing in that they are capable of sequencing multiple DNA fragments (*i.e.*, an entire genome) in a single sequencing reaction (versus sequencing small fragments piece-by-piece in multiple reactions) ⁸². The opportunities provided by NGS technology are accompanied by the challenge of managing and analyzing datasets of enormous size. The ability of NGS to generate data has out-paced the ability of scientists to interpret it. Developments in bioinformatic and biostatistical software have facilitated our ability to visualize and make inferences from large datasets.

Both DNA and RNA can be sequenced using NGS methods. Sequencing the genome (DNA) and transcriptome (RNA) offers 2 interrelated but distinct biological approaches. Genome sequencing using NGS can characterize all of the variants known to exist in gene sequences, including single base changes (SNPs), insertions and deletions, CNVs, and genetic variation in non-genic regions. The first application of NGS for genome sequencing in horses yielded the genome sequence of a Quarter Horse mare ⁷⁰.

Sequencing of the genome, however, does not reflect which elements of the DNA are transcribed. Moreover, transcription generally should be considered at the level of a specific tissue or cell type because of inter-cellular variation in gene expression.

Although the DNA sequence is common to all cells in an individual, the genes expressed vary among cells or organs of the same individual. Sequencing RNA yields a snapshot of the expressed genes of the tissue or cell type that cannot be identified by DNA sequencing. The process of sequencing RNA using NGS methods is termed RNA-Seq; it may be applied either to total RNA (all forms of RNA) or specific types of RNA. Most commonly, RNA-Seq is applied to messenger RNA (mRNA) to reflect which portions of the genome are being transcribed in the specimen. Arriving at RNA-sequencing is a multi-step process which first requires deciding from which tissue or body-fluid RNA should be extracted to best answer the biological questions being asked. Briefly, isolated RNA is converted to complementary DNA (cDNA) in order to construct a library that represents all of the RNA isolated and to be sequenced (Figure 1.3). The representative libraries then are sequenced, generally in a paired-end fashion. Paired-

end sequencing reads are generated by sequencing from both ends of a cDNA fragment (*i.e.*, from the 5' end of both strands of the cDNA fragment). Paired-end reads are extremely valuable because 2 complementary pieces of information have been generated about the same cDNA fragment, and this greatly increases the accuracy of mapping these RNA sequences back to their respective genes of the genome.

RNA-Sequencing is an invaluable tool for gaining insight into biologically relevant questions such as differences in gene expression by different alleles and gene expression of a target specimen under different biological or biochemical conditions. Several downstream RNA-Seq

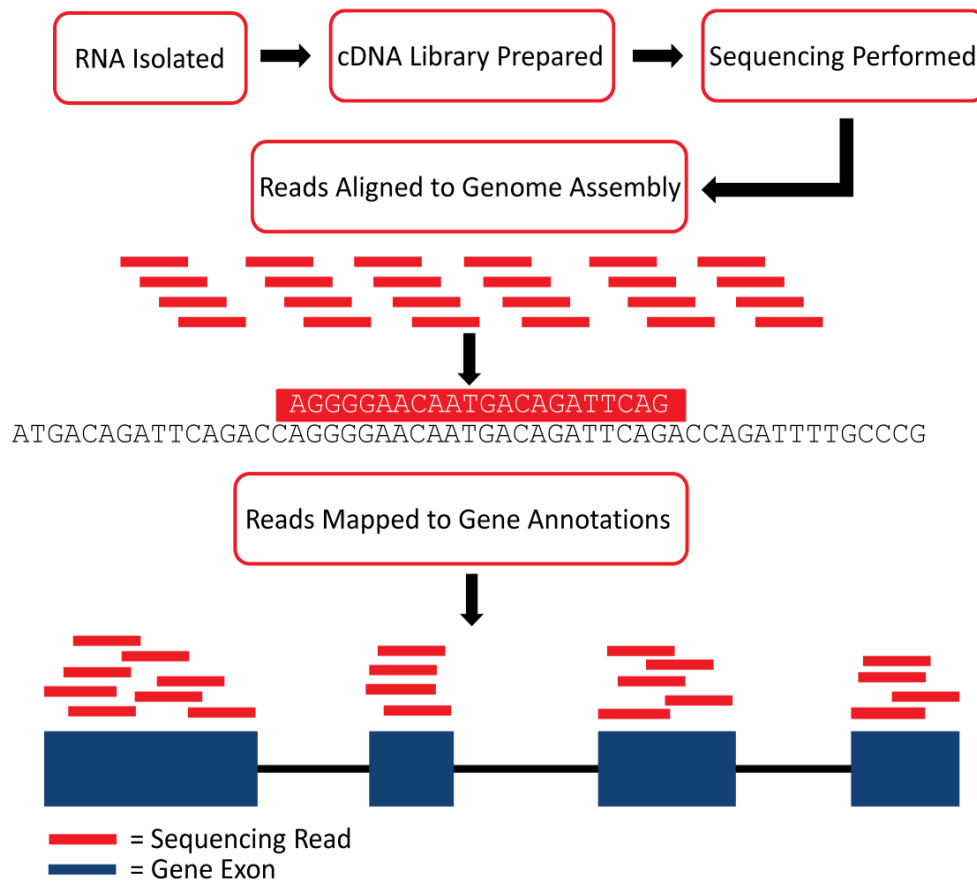


Figure 1.3. RNA-Seq flowchart. Isolated RNA is converted to cDNA, a stable molecule, which can then be amplified and sequenced. Sequencing reads are then aligned to the genome assembly (sequence only) to identify their locations based on nucleotide matches. Mapping the reads to a gene annotation list will generate the number of sequencing reads that have aligned with a particular gene and are called counts. These counts at any particular gene are representative of the amount of gene expression in the sample and can be compared across horses to identify differentially expressed genes.

processing and analysis programs can be used to identify differentially expressed genes, novel transcripts, and multiple isoforms of gene transcripts in order to find answers to biological or clinical questions⁸³⁻⁸⁵. The conclusions inferred from these analyses can lead to identifying potential biological pathways and processes that can be targeted for development of novel interventions, including treatments and preventative measures. Several studies have reported the application of RNA-Seq in horses in attempt to identify differentially expressed genes⁸⁶⁻⁹⁵. To the authors' knowledge, the first report of RNA-Seq in horses was an effort to characterize the transcriptome and tissue-specific expression profiles from 8 equine tissues⁹⁴. A subsequent study focused on characterizing gene expression by RNA-Seq in immunologically active tissues⁹⁵. Investigators have used RNA-Seq to characterize the expression profile of genes critical to the differentiation and regulation of cells during embryogenesis⁹⁰, and to characterize the expression and inferred function of RNAs in the equine sperm transcriptome⁸⁹. Several studies also have used RNA-Seq in horses to identify differentially expressed genes when comparing blood, muscle (obtained by biopsy), or both before and after exercise or racing^{86,87,92}. These studies have successfully identified pathways involved in stress during and while recovering from exercise. Others studies have sought to answer more specific question such as identifying expression differences in the cartilage of the metacarpophalangeal joints of young and old horses in an attempt to shed light on genes involved in the development and aging of cartilage⁹³. Use of RNA-Seq of hoof lamellar basal epithelial cells has been performed to identify cell-signaling pathways indicative of the early stages of laminitis⁹¹. Using

RNA-Seq, an association has been demonstrated of a long terminal repeat (a genetic element inserted in the past by conversion of viral RNA to cDNA and subsequently incorporated in the genome of the host) with congenital stationary night blindness and leopard spotting in horses ⁸⁸.

Our laboratory currently is analyzing RNA-Seq data to identify differentially expressed genes of foals representing the 3 genotypes of the *TRPM2* SNP identified in our SNP-based GWAS to better understand the role of this (and possibly other) gene in susceptibility to *R. equi* pneumonia. We also currently are applying RNA-Seq to leukocytes collected from healthy and *R. equi*-affected foals to gain insights about gene expression of these immune-related cells. These studies will further our understanding of *R. equi* pathogenesis and, hopefully identify critical biological pathways and processes involved in disease development.

The genetic basis of a common and complex disease such as *R. equi* pneumonia is likely polygenic. Gene expression profiling by RNA-Seq thus will be an essential step in understanding the relationships and interactions of multiple genes with this disease. The identification of genes that are up- or down-regulated after pathogen exposure can reveal host responses critical for defense against infection. When evidence of differential gene expression is identified by RNA-Seq (or other methods), it then becomes necessary to understand the mechanistic cause driving the change in expression (*i.e.*, variation within

regulatory elements, changes in epigenetic modifications, structural variation, post-transcriptional and post-translational modifications).

Conclusions

Research findings regarding genetic relationships with disease continue to substantiate that most common and complex diseases are not monogenic. This likely is true for *R. equi* pneumonia. The evidence to date, as summarized in this review, indicates that susceptibility to *R. equi* pneumonia is not controlled by a single gene. It is increasingly clear that both innate and adaptive immune responses as well as their interactions are critical for protecting foals against *R. equi* infection. Genetic association studies have specifically implicated innate immune responses, but innate immune responses are critical for orchestrating adaptive immune responses and it may be an oversimplification to dichotomize these responses. It is likely that there also are epigenetic factors involved in regulating gene transcription of critical immune-related genes, which adds further complexity to the pathogenesis of *R. equi* pneumonia in foals. Future proteomic studies also will be required to follow-up on promising genetic findings as protein concentrations, structures, and interactions are critical to disease development⁹⁶. Proteomic studies may be able to answer critical questions such as protein concentrations in diseased and non-diseased foals and variable consequences related to protein concentrations and their interactions, which cannot be answered with molecular genetic techniques and sequencing. With more genotypic-phenotypic associations being identified in horses, it will be challenging to investigate the causal implications of

genetic variants with functional assays. Mechanistic studies (*e.g.*, knock-out or knock-in genes) can become very expensive and would not be feasible in horses. Developing rodent models of important equine diseases and use of mechanistic studies in cell culture assay will be required to understand the functional consequences of identified associations with genetic markers. Moreover, it will be important to remain mindful of the agent-related and environmental factors that contribute to disease development. No single genetic tool or technique will identify the factors that render some foals susceptible to *R. equi* whereas others in the same environment remain clinically unaffected. The future will require a multifaceted approach to integration and analysis of data from multiple sources to successfully identify the critical pathways and processes. We believe that molecular genetic and epigenetic methods will play an important role in solving the complex riddle of susceptibility to *R. equi* pneumonia in foals.

CHAPTER II

IDENTIFICATION OF GENOMIC LOCI ASSOCIATED WITH *RHODOCOCCLUS EQUI* SUSCEPTIBILITY IN FOALS*

Introduction

Rhodococcus equi is an important intracellular pathogen affecting horses, most commonly among foals in which it causes chronic, suppurative bronchopneumonia²⁹, as well as extrapulmonary disorders⁹⁷. The cumulative incidence of pneumonia caused by *R. equi* may be high at breeding farms with affected foals, and this disease may adversely impact future racing performance⁹⁸. At affected farms, a varying proportion of foals will develop clinical signs of pneumonia while the other foals remain free of the disease; however, subclinical pneumonia can occur following either experimental or natural infection with *R. equi*⁹⁹⁻¹⁰¹.

Although the factors contributing to *R. equi* pneumonia are complex, recent evidence suggests that some horses may be genetically predisposed to this condition^{19,29,30}.

Identifying the genetic and biological basis of susceptibility, or perhaps resistance, to *R. equi* pneumonia in foals is important, because it might lead to the development of diagnostic and therapeutic tools to manage at-risk foals on breeding farms and might shed light on critical host defense mechanisms. Currently, single nucleotide

*Reprinted with permission from “Identification of Genomic Loci Associated With *Rhododococcus Equi* Susceptibility in Foals” by McQueen et al., 2014. *PLoS ONE*, 9:e98710, 2014 by CM McQueen.

polymorphism (SNP)-based genotyping platforms are available for performing genome-wide association studies (GWAS) in horses ¹⁰². Use of SNP-based genotyping platforms to identify genomic regions associated with particular phenotypes in animals is growing at a rapid pace ^{45,46,102-105}. As a result, researchers, veterinarians, and producers increasingly rely on data from these studies to make important production and management decisions ^{106,107}.

Although high-density SNP arrays are powerful tools for performing association studies, they are often inadequate for examining structurally complex regions, particularly those enriched with copy number variants (CNVs) ⁶⁷. Results from the 1000 Genomes project estimate approximately 20% of CNVs are not in linkage disequilibrium with flanking or tagging SNPs ⁶⁸, indicating that additional testing is required to accurately genotype these variants. The identification of CNVs is further complicated by the probe placement and design of most commercial SNP arrays ⁶⁷⁻⁶⁹. Comparative genomic hybridization (CGH) arrays are optimized for genotyping CNVs. Using SNP and CGH arrays together may, in some instances, increase the power of a GWAS by expanding the number of informative markers, particularly within structurally complex regions of the genome ⁶⁹.

In horses, CNVs are present in genes involved in many biological processes and may underlie or modify many common and disease traits ^{65,66,70}. Of the CNVs in horses identified to date, most are enriched in genes involved in sensory perception, signal

transduction, and metabolism⁷⁰. In other animal species, including horses, CNVs often affect genes regulating the immune system, particularly the MHC; they may also be causative or modifying variants of many immune related conditions¹⁰⁸⁻¹¹⁵.

The genetic basis of susceptibility or resistance to *R. equi* pneumonia has not been explored on a genome-wide basis. Here, we describe independent SNP- and CNV-based GWAS to identify genomic loci associated with *R. equi* pneumonia in Quarter Horse foals. We identified a number of regions associated with *R. equi* pneumonia, including a region on chromosome 26. Located within this region is the transient receptor potential cation channel, subfamily M, member 2 (*TRPM2*) gene that encodes a protein associated with neutrophil function.

Materials and methods

Ethics statement

All protocols for this study were reviewed and approved by the Clinical Research Review Committee (CRRC Protocol 10-12), College of Veterinary Medicine & Biomedical Sciences, Texas A&M University. This study was carried out on private land ([33°37'14"N 100°19'22"W](#)) and specific permissions for use were granted by GPB. During the time this study was conducted, research involving client-owned animals at Texas A&M University was not subject to review by the Institutional Animal Care and Use Committee. Written informed consent for participation was obtained for all foals

included in the study, and the 6666 Ranch provided access to the foals included in this project. This study did not involve any endangered or protected species.

Study population

The 6666 Ranch was selected as the site for this study because it agreed to provide access to foals, had history of *R. equi* pneumonia among foals with a cumulative incidence of $\geq 15\%$ for the preceding 3 years, and because the farm's veterinarian/general manager (GPB) was conducting a separate study during 2011 evaluating screening tests for *R. equi* pneumonia in foals, which was directed by one of the authors (MKC). The screening test evaluation required that treatment was not initiated for any foal on the basis of screening test results alone, and that the veterinarians making decisions about diagnosis and treatment of *R. equi* pneumonia were not informed of the results of screening tests. Each foal at the farm underwent bilateral thoracic ultrasonographic examination at 2-week intervals, beginning at 3 weeks of age either until 19 weeks of age or until the foal developed clinical signs of pneumonia (as described below). Ultrasonographic examinations were performed by a veterinarian who did not participate in diagnosis or treatment of *R. equi* pneumonia. The anatomic location (left versus right hemithorax; intercostal space; and, dorsal, middle, or ventral region) and maximal diameter of any areas of pulmonary abscesses or consolidation were recorded. In addition, the total number of lesions was counted.

All foals [N = 248] born at the farm during 2011 were eligible to be included in the study. All foals were monitored daily by farm personnel for clinical signs of pneumonia until 20 weeks of age. Clinical signs suggestive of pneumonia included fever, lethargy, signs of depressed attitude, cough, nasal discharge, polysynovitis, tachypnea, increased respiratory effort, respiratory distress, and detection of a tracheal rattle or pulmonary crackles or wheezes via thoracic auscultation. For each foal that developed clinical signs of pneumonia, thoracic ultrasonography and collection of a trans-endoscopic tracheobronchial aspirate (TBA) sample with a commercially available triple-guarded catheter (Triple stage tracheal wash catheter, MILA International Inc., Erlanger, KY) were performed. Between uses, the endoscope was disinfected with a 3.4% glutaraldehyde solution (CIDEX-PLUS, Advanced Sterilization Products, Irvine, CA) following a standard protocol used in our laboratory and known to be microbicidal against *R. equi*. Each sample of TBA fluid was submitted for microbiologic culture and cytologic evaluation to the Texas Veterinary Medical Diagnostic Laboratory in College Station, Texas.

Foals with *R. equi* pneumonia (clinical group; N = 43 [17%]) were defined as those having signs of pneumonia at 3 to 20 weeks of age, ultrasonographic evidence of peripheral pulmonary consolidation or abscesses at the time of examination for clinical signs of pneumonia, and *R. equi* detected in TBA fluid via microbiologic culture, and cytological evidence of gram-positive intracellular coccobacilli in the TBA sample. Subclinical foals (N = 156 [63%]) were defined as those having ultrasonographic

evidence of peripheral pulmonary consolidation or abscesses, but lacking clinical signs of pneumonia¹¹⁶. Unaffected foals (N = 49 [20%]) were classified as having no clinical signs of pneumonia and no ultrasonographic evidence of pulmonary consolidation or abscessation. From each of the 3 groups of foals (i.e., clinical, subclinical, and unaffected), 24 foals were selected randomly for the SNP- and CNV-based genome-wide association studies. The rationale for including 24 foals was based on funding available to conduct the study (rather than an *a priori* sample size calculation).

DNA samples and isolation

A blood sample (4 mL) was collected by jugular venipuncture into a tube containing acid citrate dextrose (ACD) as an anticoagulant from the first (i.e., age 3 weeks) blood sample obtained from each foal. Genomic DNA was isolated using a standard phenol-chloroform isoamyl extraction protocol from these blood samples from each foal⁷⁰.

SNP genotyping and data analysis

The SNP genotyping was performed at Gene Seek (Neogen, Lincoln, NE) using the EquineSNP70 BeadChip Array (Illumina, San Diego, CA). The resulting SNP genotypes were analyzed using the PLINK analysis package¹¹⁷. Genotypes were determined for each animal and then filtered (i.e., excluded) on the basis of missingness per individual (> 10%), missingness per SNP (> 10%) minor allele frequency (< 5%), and absence of Hardy-Weinberg equilibrium ($P < 0.001$), as described by

Raudsepp *et al.* ⁴⁵. A standard chi-square association test (Max(T) permutations [N = 10,000]) based on a binary outcome of disease status using a case-control design was performed using PLINK ^{44,45}. Genotype ped files were loaded into PLINK and foals were assigned a phenotypic status of either affected or unaffected (case/control). A P value of $P < 1 \times 10^{-5}$ was considered evidence of association ¹¹⁸. Population stratification was determined using plots of the observed versus the expected $-\log_{10} P$ values of Cochran-Armitage trend tests and by determining the genomic inflation factor, λ , using the R package *GenABEL* ¹¹⁹. Using the R package *pedigreemm* ¹²⁰, mixed-effects logistic regression with sire modeled as a random effect was used for the association test in comparisons showing evidence of population stratification; SNPs with any genotype represented fewer than 10 times were removed from analysis to permit model convergence ¹¹⁹⁻¹²¹. All SNP array data have been deposited in NCBI's Gene Expression Omnibus (GEO) ¹²² and are accessible through GEO Series accession number GSE57510 (<http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE57510>).

Joint analysis

Genotyping for the joint analysis was performed using a tetra-primer AMRS PCR genotyping reaction ¹²³ of an individual SNP (SNP ID:UKUL3936) present on the EquineSNP70 array and located within the *TRPM2* gene (Forward outer: 5'-ATCAGCCAGACACTCCAGGCATGACAT-3'; Forward inner: 5'-CATCCTCCTCAGCCACCTGCATCTTTT-3'; Reverse outer: 5'-ATCTCAGAAGGAGCTGCCATGCCTACC-3'; and, Reverse inner: 5'-

GTATCTTCAGGACCACCCTCCTGACGC-3'). The primers were designed using Primer3 software ¹²⁴ and synthesized by Sigma-Aldrich (St. Louis, MO). The PCR reactions were performed under these conditions: 9.8 µl milli-Q H₂O, 4 µl Taq FlexiBuffer (Promega, Madison, WI), 2 µl MgCl₂, 0.4 µl dNTPs, 0.1 µl Taq (Promega), 1 µl forward and reverse inner primer, 0.1 µl forward and reverse outer primer, and 1.5 µl of DNA at 50 µg/µl. Cycling conditions were as follows: 94°C for 2 m; 35 cycles at 94°C for 1 m; 65.8°C for 1 m; 72°C for 1 m; and, a final extension at 72°C for 2 m. PCR amplicons were resolved on a 2% agarose gel.

Genotype data from the joint analysis were analyzed using logistic regression on the basis of the binary outcome of disease (pneumonia versus each of the respective comparison groups [i.e., clinical foals, subclinical foals, and unaffected foals]). The association of disease with genotype for the SNP was expressed as the odds ratio (OR), estimated from logistic regression modeling; 95% confidence intervals were estimated using maximum likelihood methods. Models were fit for comparisons of clinical versus subclinical foals, clinical versus the combination of subclinical and unaffected foals, and clinical versus unaffected foals. Models were fit using S-PLUS statistical software (Version 8.2, TIBCO, Inc., Seattle, WA). A significance level of $P < 0.05$ was used for the analyses.

CNV detection and analyses

Copy number variants were identified using a previously reported equine exome array for comparative genomic hybridization (CGH)⁷¹. An individual Quarter Horse mare was used as the reference sample for each CGH experiment⁷⁰. Array CGH was performed using methods described by Doan *et al.*⁷¹. Briefly, genomic DNA was sonicated and then labeled with the Cy5 (experimental) and Cy3 (reference) AlexaFluor dyes using the BioPrime Plus labeling kit (Invitrogen, Carlsbad, CA). Two µg of reference and experimental DNA were hybridized onto the arrays (Agilent Technologies, Santa Clara, CA). The arrays were scanned using an Agilent DNA Microarray Scanner (2-µm settings and 0.05 XDR). Fluorescent intensity values were calculated using Agilent's Feature Extraction 10.5 software (Agilent). Copy number variants, including their corresponding log₂ ratios, were identified using Agilent's Genomics Workbench 7. Copy number variants were called using the ADM-2 algorithm and the following filters: minimum probe span ≥ 3 and average log₂ ratio ≥ 0.5, removal of probes with ≥ 3 standard deviations above or below the mean log₂ fluorescent intensity.

Logistic regression modeling was used to perform 2 separate CNV-based GWAS. The first approach modeled the association of the binary outcome of 2 groups (e.g., clinical versus subclinical) with the log₂ ratio of intensity values (a continuous variable) for each CNV. The second approach modeled the association of the binary outcome of 2 groups with the presence or absence of a CNV (a binary categorical variable) within a CNV region. For the first approach, CNV regions (CNVRs) were determined for the foals

examined. The CNVRs were then filtered to include only CNVs identified in at least 3 foals. The \log_2 ratios of probes within each CNVR were then averaged to calculate a single \log_2 (CNVR- \log_2 ratio) for each CNVR for each foal. The CNVR- \log_2 values were used in a logistic regression model to identify associated CNVs among the pairwise comparisons of the 3 groups (case-control design described above). For the second approach, a logistic regression model involving the binary outcome of presence (or absence) of a CNV within each CNVR was used to identify associated CNVs among the pairwise comparisons of the 3 groups. For both approaches, the generated P values from linear modeling or regression analyses were corrected for multiple comparisons using the method outlined by Hochberg *et al.*¹²⁵. Statistical analyses were performed using R (Version 3.0.1; R Statistical Project). All CNV data have been deposited in NCBI's Gene Expression Omnibus (GEO)¹²² and are accessible through GEO Series accession number GSE57510

(<http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE57510>).

Results

SNP-based GWAS

Three case-control GWAS were performed among the 24 randomly selected foals representing each group (clinical group, subclinical group, and unaffected group; Figure 2.1). The number of SNPs excluded on the basis of missingness per individual,

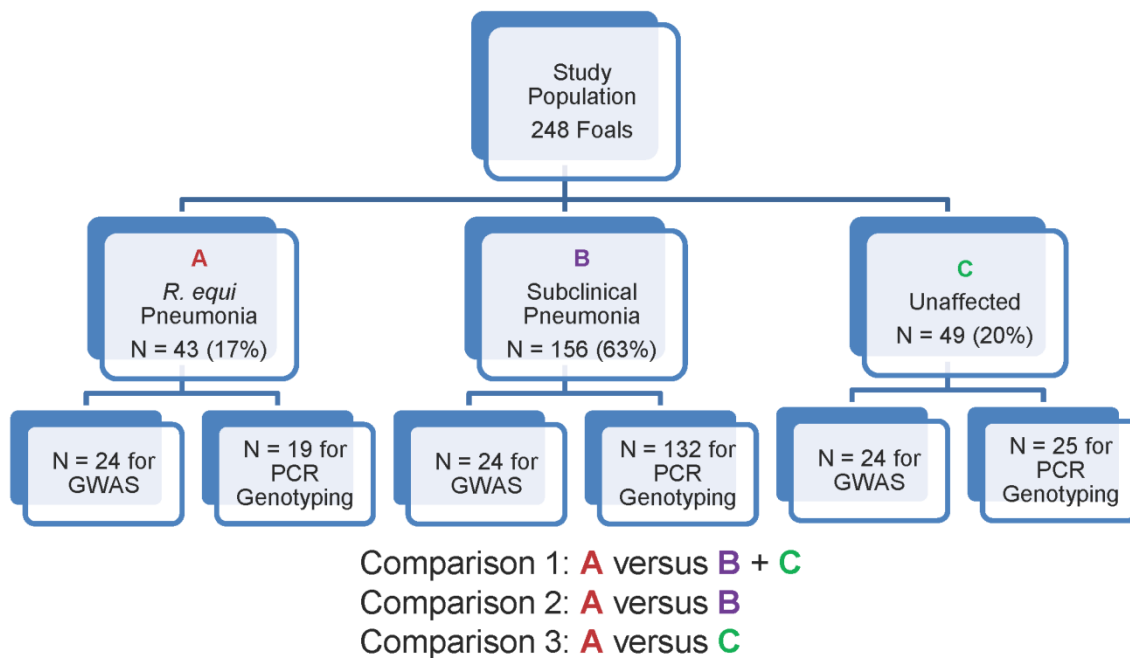


Figure 2.1. GWAS study population. Schematic diagram representing the distribution of the total population into the 3 subgroups (*R. equi* pneumonia foals [clinical], subclinical foals, and unaffected foals), and by genome-wide association studies versus PCR genotyping for TRPM2 SNP. The 3 comparisons among groups are also summarized.

missingness per SNP, and minor allele frequency were 0, 1,292, and 11,157, respectively (12,449 total SNPs). For comparisons 1, 2, and 3 (Figure 2.1), the number of SNPs excluded on the basis of Hardy-Weinberg equilibrium were 0, 83, and 54, respectively. After filtering, the total genotyping rate of the foals was estimated at 99.4%. Comparison 1 (clinical [N = 24] vs. subclinical + unaffected [N = 48]; $\lambda = 1.16$) identified 7 regions showing evidence of moderate association with clinical pneumonia ($P < 1 \times 10^{-5}$) (Figure 2.2 A and Table 2.1). Comparison 2 (clinical [N = 24] vs. subclinical [N = 24]; $\lambda = 1.00$ [Supplementary Figure 2.1 A]) identified 10 regions with moderate association (Figure 2.2 B and Table 2.1). The region associated with clinical pneumonia had a (point-wise) value of $EMP1 \leq 0.0002$. Comparison 3 (clinical [N = 24] vs. unaffected [N = 24]; $\lambda = 1.44$ [Supplementary Figure 2.1 B]) identified 2 regions with moderate association (Figure 2.2 C and Table 2.1). Results from each GWAS comparison are provided in Supplementary Table 1.

The λ value of comparison 3 (1.44) suggested evidence of confounding population structure from 1 of the groups, thus mixed-effects modeling with sire as the random effect term was used as an additional association test. There were 23,318 SNPs filtered due to failure to converge in mixed modeling, leaving 40,843 SNPs for evaluation in comparison 3. The logistic mixed-effects modeling reduced the λ from 1.44 to 1.10 (Supplementary Figure 2.1 D), and inspection of the observed versus expected P value plot indicated that none of the smallest P values [highest $-\log_{10}$ P values] observed were greater than expected (Figure 2.2 D and Supplementary Table 2.1). The mixed-effects

model analysis identified 1 region with modest evidence of association (BIEC2_284540; chr15:7,394,044; $P = 9.0 \times 10^{-5}$). The λ values of each comparison suggested the population structure was attributable to foals in the unaffected group because the magnitude of λ was greatest for the comparison of clinical versus unaffected groups (i.e., strongest evidence of population stratification), was 1.00 for clinical versus subclinical groups (i.e., absence of population stratification), and 1.14 when the clinical group was compared with the combination of healthy and subclinical (indicating the healthy group contributed to evidence of population stratification for this comparison).

A region on chromosome 26 (chr26:39,640,172-39,867,963) showed evidence of association with clinical pneumonia (Comparisons 1 and 2), with the strongest evidence of association in comparison 2. Three of the 4 SNPs identified in this region were BIEC2_732054, BIEC2_696979, and BIEC2_696992, with the first lying in a keratin-associated protein (*KRTAP*) gene and the remaining 2 SNPs lying in non-genic locations. The region also contained a potential candidate gene (*TRPM2*) based on biological

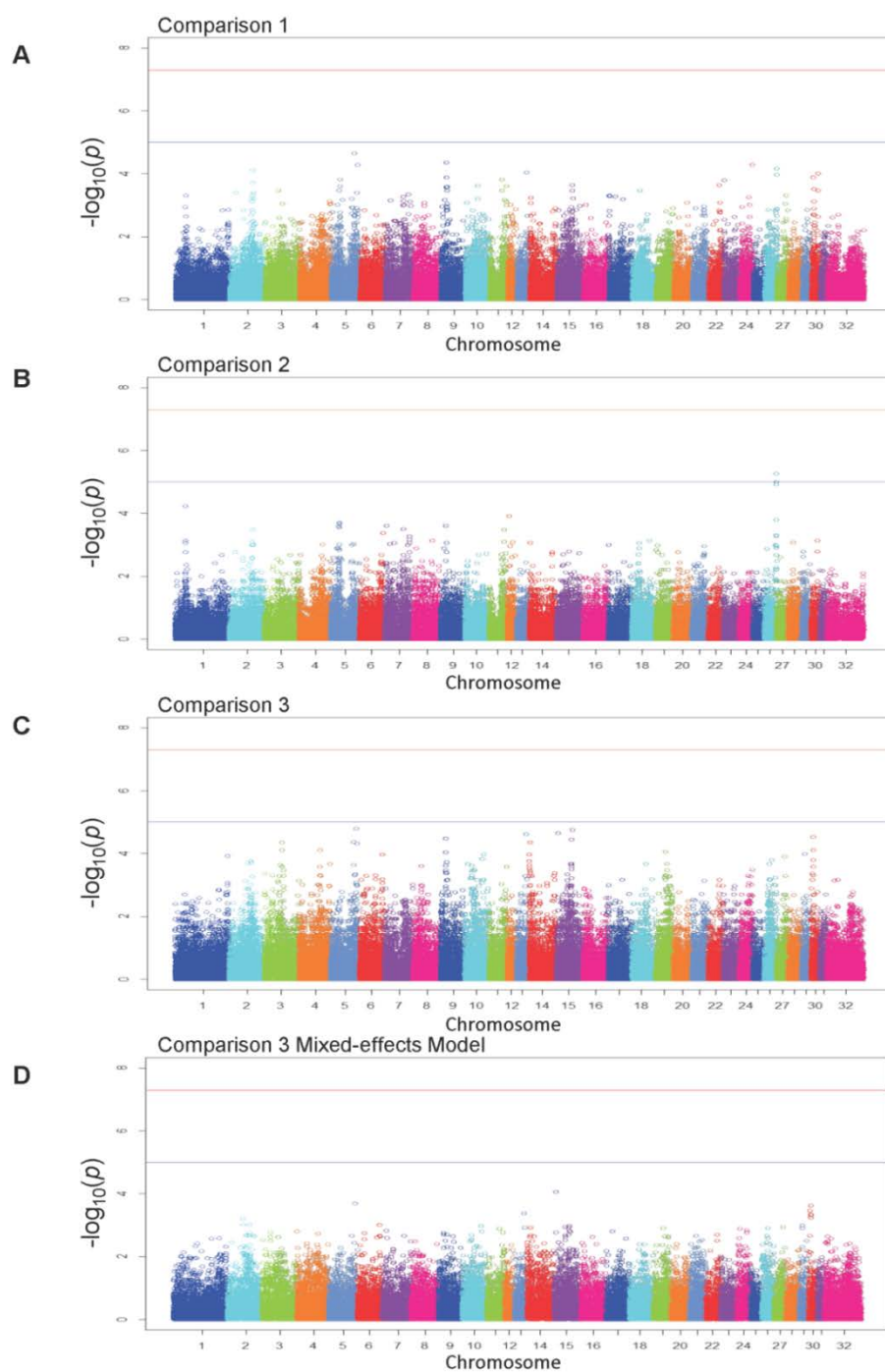


Figure 2.2. GWAS Manhattan plots. Manhattan plots of standard chi-squared significance values for the 3 genome-wide association studies. Manhattan plots for (A) comparison 1, (B) comparison 2, and (C) comparison 3; (D) Mixed effects-model analysis of comparison 3.

Table 2.1. Moderately associated SNPs for each genome-wide association study.

Comparison 1			
SNP ID	Chromosomal location	P Value	Odds Ratio
BIEC2_921509	5:79290169	2.21E-05	0.2
BIEC2_1137861	9:19578673	4.42E-05	9.556
BIEC2_976727	5:91479639	5.10E-05	0.09677
BIEC2_650473	24:41033593	5.16E-05	0.04274
BIEC2_732054	26:39777632	6.78E-05	12.05
BIEC2_696979	26:39861109	6.78E-05	12.05
BIEC2_696992	26:39867963	6.78E-05	12.05
BIEC2_492054	2:80197359	7.73E-05	0.2036
BIEC2_240596	13:31572932	8.94E-05	0.156
BIEC2_825623	30:20687203	9.79E-05	0.2369
BIEC2_867975	30:20690855	9.79E-05	0.2369
Comparison 2			
SNP ID	Chromosomal location	P Value	Odds Ratio
BIEC2_732054	26:39777632	5.54E-06	17.89
UKUL3936	26:39640172	9.93E-06	12.69
BIEC2_696979	26:39861109	1.24E-05	16.43
BIEC2_696992	26:39867963	1.24E-05	16.43
BIEC2_16162	1:35593058	5.80E-05	13.8
Comparison 3			
SNP ID	Chromosomal location	P Value	Odds Ratio
BIEC2_927543	5:88617368	1.63E-05	0.1486
BIEC2_311792	15:54419913	1.75E-05	0.1515
BIEC2_284540	15:7394044	2.21E-05	0.08571
BIEC2_240596	13:31572932	2.41E-05	0.1163
BIEC2_858227	30:6939831	2.99E-05	0.1512
BIEC2_1078504	9:19582539	3.37E-05	0.1314
BIEC2_310113	15:51485703	3.57E-05	0.1074
BIEC2_310214	15:51596762	3.57E-05	0.1074
BIEC2_324522	15:51634407	3.57E-05	0.1074
BIEC2_921509	5:79290169	4.19E-05	0.1667
BIEC2_784299	3:59782881	4.45E-05	0.1445
BIEC2_239002	14:3055273	4.46E-05	0.1696
BIEC2_976312	5:90703095	4.80E-05	0.09333
BIEC2_928796	5:90706671	4.80E-05	0.09333
BIEC2-815626	30:5321760	7.70E-05	0.1169
BIEC2_784331	3:59874438	7.87E-05	5.8
BIEC2_784332	3:59874495	7.87E-05	5.8

Table 2.1. Continued

BIEC2_869756	4:72076243	7.87E-05	5.8
BIEC2_435811	19:33380654	8.85E-05	0.1784
BIEC2_1137861	9:19578673	9.20E-05	10.09

function⁵⁴ identified by the SNP marker, UKUL3936 ($P = 9.93 \times 10^{-6}$; OR = 12.7), which was also located within exon 22 of the *TRPM2* gene. On the basis of this finding, a joint analysis was performed to include results of PCR-based genotyping of the UKUL3936 SNP for the samples from the larger remaining population of foals not included in the GWAS study (i.e., clinical [N = 19], subclinical, [N = 132], and unaffected [N = 25]). Prior to performing the joint analysis, 10 of 72 foals previously genotyped on the SNP array were used to validate the genotyping reaction used for the joint analysis; results of SNP array and PCR genotyping agreed for all 10 foals. The joint analysis comparing clinical versus subclinical foals (comparison 2) revealed that foals from the clinical group were approximately 4-fold less likely to have either an AB ($1/(0.23) = 4.3$; $P = 0.0017$) or BB genotype ($1/(0.28) = 3.6$, $P = 0.0574$), consistent with a dominant model, possibly with partial penetrance (Table 2.2). Considering just the AA genotype relative to the other

Table 2.2. Joint analysis of *TRPM2* SNP UKUL3936

Genotype				
<i>Standard Model</i>	Clinical foals	Subclinical foals	P value	Odds Ratio (95% CI)
AA	72% (31/43)	41% (64/156)	NA	1 (NA)
AB	23% (10/43)	47% (74/156)	0.0017	0.28 (0.13 to 0.61)
BB	5% (2/43)	12% (18/156)	0.0574	0.23 (0.05 to 1.04)
<i>Dominant Model</i>				
Not AA	28% (12/43)	59% (92/156)	NA	1 (NA)
AA	72% (31/43)	41% (64/156)	0.0006	3.71 (1.78 to 7.76)
<i>Additive Model</i>				
f(A)*	2(0 to 2) (72/86) 84%	1(0 to 2) (202/312) 65%	0.0014	2.83 (1.51 to 5.31)
<p>* Median (range) reported for frequency of allele A, along with the proportion of A alleles among all alleles represented for each group. Joint analysis includes genotypes derived from SNP array and PCR genotyping.</p>				

genotypes, the odds of disease were approximately 3.7-fold greater for foals with the AA genotype (Table 2.2; $P = 0.0006$). Using an additive model, there was a significant ($P = 0.0014$) association of the A allele in comparisons between the clinical and other groups, with an estimated odds of a clinical classification being increased nearly 3-fold for each copy of the A allele. Examination of the genotype data, however, suggested an additive

model was unlikely: the ORs for the AB and BB types relative to the AA genotype were similar. The AA genotype of the TRPM2 gene also was significantly associated with increased odds of *R. equi* pneumonia when considering the results of comparisons of the clinical foals versus all foals (comparison 1; Supplementary Table 2) and for clinical foals versus healthy foals (comparison 3; Supplementary Table 2.3), irrespective of the genetic model.

CNV-based GWAS

Next, array aCGH was performed to genotype CNVs in the 72 foals examined in the SNP-based GWAS. Two reactions failed to meet the minimum quality scores for CNV detection, so they were excluded from the study (foals 153 and 278). Collectively, 6,727 CNVs were identified among the 70 foals (Table 2.3 and Supplementary Table 2.3). Merging shared CNVs yielded 2,350 CNV regions (CNVR) that were present at 3,492 Ensembl annotated genes (3,442 protein-coding and 50 RNA-coding). The lengths of CNVs ranged from 197 base-pairs (bp) to 7,229.5 kilo-bp (kb), with a mean length of 97.7 kb, median length of 4.4 kb, and mode length of 960 bp.

The association between disease status (the outcome variable) and individual CNVs (dependent variable) was assessed using logistic regression analysis. Because CNV genotypes reflect

Table 2.3. CNVs identified in each clinical group.

	Clinical foals	Subclinical foals	Unaffected foals	P Value
Total CNVs	84 (29 to 592; 2,654)	57 (29 to 338; 1,823)	77 (28 to 254; 2,250)	0.262
Gains	25 (8 to 395; 1,229)	24 (9 to 187; 770)	30 (10 to 152; 957)	0.334
Losses	41 (15 to 197; 1,425)	36 (16 to 151; 1,053)	40 (16 to 139; 1,293)	0.574
CNVs: median (range; sum); Kruskal-Wallis test				

Table 2.4. Top 5 CNV regions identified using logistic regression for the association of *R. equi* with either the binary variable presence or absence of a CNV identified in the region (Presence column) or the log₂ ratio of intensity values of the CNVs (Intensity columns).

Presence		Intensity (log ₂)	
CNVR	Location	CNVR	Location
232	chr1:158563545-160296515	179	chr1:135750728-135756968
237	chr1:161277315-161277884	391	chr2:88754970-89061174
269	chr2:6304157-6378969	458	chr3:36530104-36530453
536	chr4:21281685-23733388	645	chr5:40060212-40060524
735	chr6:42263179-42282649	753	chr6:59580727-59585139
CNVR identification numbers are provided in Supplementary Table 1.			

differences in DNA content between 2 individuals and are expressed as normalized \log_2 ratios representative of varying degrees of copy number gains and losses, separate logistic regression modeling was performed using CNVs as the dependent variable as either 1) continuous variables representing average \log_2 ratios of CNVRs or 2) a binary variable representing the presence or absence of a given CNV (see Materials and Methods section). Comparisons among groups of foals were made in the CNV-based GWAS as described above (Figure 2.1). Correction for multiple comparisons revealed no significant ($P < 0.05$) associations of disease with CNVs when considered as continuous \log_2 ratios (Table 2.4) or as the binary outcome for presence or absence of a CNV. No association with clinical status grouping was detected on the basis of the total number of CNVs for individuals (Table 2.3).

Discussion

Rhoddococcus equi is an important cause of disease and death in young foals⁶. Multiple factors such as age, environmental conditions including level of exposure to virulent organisms, and genetic background appear to play a role in the occurrence of this complex disease¹²⁶⁻¹²⁸. The purpose of this study was to better characterize the genetic basis of susceptibility to *R. equi* pneumonia. One special feature of this study was the phenotypic characterization of foals into those that remained unaffected (i.e., free of both clinical signs and ultrasonographic evidence of disease) through weaning, those that had subclinical pneumonia (i.e., absence of clinical signs but ultrasonographic

evidence of pulmonary lesions), and those that developed *R. equi* pneumonia. At most farms where screening is performed, foals with evidence of subclinical pneumonia receive treatment or other interventions that precludes one from differentiating foals that would have progressed to clinical disease from those that would have remained subclinical. At the farm described in this report, however, foals with subclinical pneumonia were not treated or otherwise managed differently than unaffected foals providing us with the exceptional opportunity to conduct GWAS's with 3 clinically important phenotypes.

A SNP-based GWAS revealed a region on chromosome 26 (chr26:39640172-39867963) that was positively associated with disease. This region contains the *TRPM2* gene, which is associated with neutrophil function. Although, *TRPM2* is an ideal candidate based on its known biological function, an adjacent SNP located within the *KRTAP* gene was more strongly associated than the SNP located with *TRPM2*. Further, investigation of this region (e.g., fine-mapping of the region) is needed before any conclusion can be made regarding the role of *TRPM2* in *R. equi* pneumonia. Nevertheless, *TRPM2* is of interest because it has been demonstrated in mice to play a role in neutrophil-mediated tissue damage⁵⁴. Neutrophils have been shown to play an important role in the outcome of *R. equi* infection. Neutrophil-depleted mice had significantly heavier tissue burdens of *R. equi* following experimental infection than non-depleted mice, documenting a protective role for neutrophils¹²⁹. The neutrophil concentrations at 2 and 4 weeks of age were significantly lower among foals that subsequently developed *R. equi* pneumonia

than among age-matched foals that did not develop pneumonia¹³⁰. Similar protective roles for neutrophils have been documented for other intracellular pulmonary pathogens^{129,131,132}. Moreover, *R. equi* has an age-dependent distribution (i.e., foals are usually affected and adults are generally resistant to infection), and age-related differences in neutrophil responses to *R. equi* have been documented¹³³⁻¹³⁵. Although neutrophils play a role in protecting against *R. equi* infection, they also contribute to lung parenchymal damage of this pyogranulomatous disease^{6,136}. In a mouse model of ulcerative colitis, the over-abundance of neutrophil invasion into tissue mediated by *TRPM2* expression, led to increased colonocyte death⁵⁴. Thus, variation in *TRPM2* expression could influence the extent to which neutrophil-induced pulmonary damage occurs following infection with *R. equi*, and this variation could be a crucial determinant of the clinical outcome of infection with *R. equi* and the progression from subclinical to clinical pneumonia. If the *TRPM2* allele implicated in our study were associated with increased *TRPM2* expression, it might consequently be associated with greater likelihood of pneumonia development as a result of greater neutrophil invasion. The functional effects of this *TRPM2* genotype are unknown, however. Interestingly, expression of a splice variant of *TRPM2* was demonstrated to inhibit death of several cultured cell lines¹³⁷. Further evaluation of the functional differences in neutrophilic responses among *TRPM2* genotypes is warranted.

A CNV-based GWAS was conducted and revealed no significant association with disease status. Despite the negative findings, these results are of interest with regard to

better characterizing genetic variation in horses and using CNVs for GWAS with disease outcomes. A search of PubMed reveals no other attempt to perform a CNV GWAS via aCGH in horses and to the authors' knowledge no others have been done. Valuable information was gained in terms of the analysis of CNV data generated from a GWAS using aCGH. The identification of CNVs is based on \log_2 ratios of intensity signals that are generated between the reference and sample DNA. The results may be interpreted either as presence or absence of a CNV, based on a threshold intensity value⁷¹, or the actual intensity values themselves. As observed in this study, these 2 outcomes for CNV-calling yielded differing results. We propose that utilizing average \log_2 ratios across CNV regions is superior because classification of CNVRs by presence or absence does not further characterize a CNV, whereas analysis of the \log_2 ratios allows for the identification of whether the CNV involved gains or losses, and for description of the magnitude of the gain or loss.

We failed to identify significant association with candidate genes previously associated with *R. equi* pneumonia in other breeds of foals^{19,29,30}. This may have been attributable to differences among populations of foals studied (e.g, breeds) or study methodology (e.g., case definitions, methods for detecting polymorphisms, etc.). Nevertheless, a commonality among these studies can be found in their identification of genes pertaining to host defenses against infectious pathogens, such as iron transport and innate immune responses. Conceivably, these apparently discrepant findings may converge on critical

biological pathways or processes that influence susceptibility to infection with *R. equi* (and other intracellular pathogens).

This study had a number of limitations. First, it was likely underpowered for both the SNP- and CNV-based GWAS portions of the study: we only had the opportunity to find SNPs or CNVs with large effects. This lack of power is probably why we did not identify highly significant associations in the SNP-based GWAS for 2 of our 3 comparisons (Figure 1), and only moderate significance for the allele identified in comparison 2. Although sample sizes may be calculated for human studies, methods for incorporating crucial determinants of sample size such as the impact of the relatively longer length of linkage disequilibrium in horses relative to humans remain to be defined. Moreover, the cost of GWAS studies and the limited funding available for equine research can be restrictive. It is worth noting that our SNP-based GWAS did provide sufficient power to identify a SNP associated with *R. equi* that was subsequently substantiated by findings of the joint analysis using PCR testing of additional foals from this population for all 3 comparisons. Nevertheless, larger scale studies are indicated. For example, 1 locus on chromosome 15 (in the promoter region of a chemokine) was weakly associated in both the mixed-effects modeling and standard GWAS analyses for comparison 3: this finding could be simply attributable to chance but also could represent an underpowered association that merits further investigation.

A limitation of this study is that it was restricted to foals of a single breed at a single farm. Further studies are indicated to substantiate whether the observed association holds among other Quarter Horse foals at other farms, and among foals of other breeds. Another limitation was that although the mixed modeling for comparison 3 yielded a marked reduction in the estimated value of λ (from 1.44 to 1.10), the mixed modeling value of λ suggested residual confounding from population structure. Graphical analysis of the results of mixed modeling, however, suggested this was not the case: the plot of observed versus expected P values indicated that fewer than expected small P values were identified and more than expected large P values were observed following mixed-effects modeling, and Manhattan plots of the standard and mixed-effects model GWAS for comparison 3 revealed a shift to larger P values following mixed-effects modeling (Supplementary Figure 2.1). Moreover, the linear regression-based estimate of λ had a poor fit because the data were non-linear in the range of the high P values (data not shown). We interpreted these results to indicate that the mixed-effects modeling had largely corrected for population stratification attributable to sire, and that the study was underpowered. As noted, a limitation of this study is that we lack fine-mapping of the region to determine whether the *TRPM2* allele is a causal variant or simply in linkage disequilibrium with another gene or genes that contribute to susceptibility to *R. equi*. Finally, it must be noted that although the estimated OR for the *TRMP2* SNP was relatively large, it is not of sufficient magnitude to be clinically useful for screening purposes.

Despite the aforementioned limitations, this study has identified a region on chromosome 26 that was associated with *R. equi* pneumonia in foals, and the largest scale GWAS in foals reported to date. Furthermore, it extends current knowledge of equine CNVs and analysis of data from GWAS using aCGH in horses.

Conclusions

In conclusion, the results of this study identify a locus and gene potentially involved in the development of *R. equi* pneumonia in foals. Future studies are warranted to substantiate the association of *TRPM2* gene (and related pathways) with *R. equi* pneumonia and to provide fine-mapping of the region on chromosome 26 implicated in this GWAS.

CHAPTER III

USE OF RNA-SEQUENCING TO INVESTIGATE FUNCTIONAL IMPLICATIONS
OF *RHODOCOCCLUS EUQI* ASSOCIATED GENTOYPES

Introduction

Rhodococcus equi is a pathogen that predominantly affects young foals causing pneumonia as well as extrapulmonary disorders^{1,6,53,97,116}. Currently, there is no approved or effective vaccine for protection against *R. equi* pneumonia, and other preventative interventions, such as transfusion of hyperimmune plasma, are expensive, labor-intensive, and incompletely effective^{3,6}. Evidence has shown that *R. equi* taken up by the host must bear the virulence associated protein A (VapA) gene in a plasmid in order to cause disease; however, presence of the plasmid and VapA alone is not sufficient to cause disease indicating host factors are of great importance^{7,8}. In addition to anecdotal reports of some mares having multiple infected foals while other mares in the same environment never have an affected foal, several candidate gene studies suggest a genetic basis of *R. equi* susceptibility and have been reviewed^{19,22,29,30,138}. Due to gene selection biases and phenotypic misclassification, the associations from candidate gene studies have been weak and potentially biased¹⁷.

Recently, our laboratory identified a region on chromosome 26 associated with *R. equi* pneumonia in a genome-wide association study (GWAS)[15] using a commercially available SNP array^{39,52}. Four SNPs were associated with clinical disease in a region

spanning several predicted genes. One of the SNPs was well suited to serve as a marker because it was located within the transient receptor potential cation channel, subfamily M, member2, candidate gene (*TRMP2*) and could be easily and accurately genotyped⁵². This SNP was associated with 3 genotypes designated AA, AB, and BB alleles. Because SNPs are merely indicators of location and are very rarely actual causal mutations, it remains unclear which genes in this region might explain the observed association of genotype with disease. More importantly, these SNPs alone do not provide any functional information regarding the relationship between genotype and phenotype across this region. The marker SNP in *TRPM2* is a synonymous substitution and does not change the amino acid or protein sequence. Thus, other approaches such as investigating gene expression are necessary to further investigate the observed association of the *TRPM2* SNP and *R. equi* pneumonia.

Investigating the whole transcriptome (*i.e.*, the entire translated genome) using RNA-Seq provides an unbiased approach for gene expression analysis because all potential genes and their isoforms are considered¹³⁹ yielding greater possibility for identifying true expression phenotypes associated with the genotype(s) of interest. Using RNA-Seq enables the characterization of the transcriptional activity across the region of interest (*i.e.*, targeted analysis) as well as across the remainder of the genome (*i.e.*, untargeted analysis), such as differences in total expression of genes, alternatively spliced transcripts, and truncated gene transcripts. Thus, we used RNA-Seq to perform targeted and untargeted associations of gene expression with both genotype and phenotype

previously associated with *R. equi* infection. For our targeted approach, we determined which genes in the region of interest on chromosome 26 were expressed differentially by each of the 3 marker genotypes identified for the *TRPM2* SNP (*i.e.*, AA, AB, or BB alleles) as well as to identify associations between gene expression profiles and clinical phenotypes (Supplementary Figure 3.1). In addition to our targeted analysis, we also examined genes differentially expressed across the entire transcriptome to look for other genes that were differentially expressed that might be associated either with genotype or with susceptibility to *R. equi* pneumonia. The objectives of this study were to identify biological pathways and processes involved in susceptibility to *R. equi* pneumonia as well as to characterize the Quarter Horse transcriptome^{86,87,94}.

Materials and methods

Study population

All protocols for this study were reviewed and approved by the Institutional Animal Care and Use Committee (AUP: IACUC 2013-0259 CA, Approval Date: 1/29/2014). Written informed consent for participation was obtained for all horses included in the study, and the 6666 Ranch provided access to the horses for use in this project. The study population was derived from the source population for our GWAS described above (*viz.*, foals born during 2011 at the 6666 Ranch)⁵², which had a cumulative incidence of *R. equi* pneumonia of 17% during 2011. We identified 51 horses still remaining at the 6666 Ranch that had been foals during our previous study in 2011;

horses (formerly foals) of each of the 3 genotypes of interest (*i.e.*, AA, AB, and BB) identified from our GWAS were available for testing. Foals were randomly selected for participation in the RNA-Seq portion of this study which included 3 AA, 4 AB, and 5 BB genotypes. This sample size was determined by the funding available for this project and the cost of RNA-Seq.

Sample collection and RNA-Seq

A whole blood sample (5 mL) was collected by jugular venipuncture into 2 Paxgene RNA Vacutainer tubes (PreAnalytiX, Hombrechtikon, Switzerland) at the 6666 Ranch, Guthrie, TX, June 25, 2014, to permit the RNA to be stabilized for transport to Texas A&M University. The blood samples were processed with the MagMax Paxgene RNA purification kit (Life Technologies) to isolate total RNA. The Texas A&M Agrilife Genomics and Bioinformatics facility constructed a cDNA library using the TrueSeq RNA preparation kit (Illumina) with a polyA selection step, and performed RNA-Seq. Samples were pooled and sequenced in duplicate (2 lanes) on a HiSeq 2500 (Illumina) to account for any lane biases that might arise. The HiSeq2500 generated 125-base-pair (bp) paired-end (PE) sequencing reads for expression analyses.

Gene expression analysis for genotypic and phenotypic comparisons (targeted and untargeted)

Raw data output of the RNA-Seq was processed by our laboratory using multiple online resources provided by the Texas A&M Institute for Genome Sciences and Society. The generated PE reads were aligned to the entire equine genome reference sequence (EquCab2) using *Tophat*⁸⁴. Aligned reads were then mapped to equCab2 ENSEMBL gene annotation and read counts assigned to each sample at each gene using *HTSeq*¹⁴⁰. *HTSeq* generated count tables using the intersection nonempty parameter to account for ambiguous reads (*i.e.*, overlapping reads that map to overlapping genes).

Three comparisons were chosen to test for differentially expressed genes within the horses. The first comparison made was between the AA genotyped foals (n = 3) and the non-AA genotyped foals (*i.e.*, the combined total of AB and BB genotyped foals (n = 9)). The AB and BB genotypes were combined on the basis of our previous results indicating that the odds of disease were equivalently greater in the AA homozygotes relative to the AB genotype, the BB genotype, or their combination⁵². The next comparison tested for differential expression among the 3 genotypes (*viz.*, AA, AB, and BB) to identify any genes differentially expressed relative to each genotype. A third comparison tested for differential gene expression between horses that had clinical cases of *R. equi* pneumonia as foals (n = 2) and horses that had not developed clinical signs of pneumonia as foals (*i.e.*, subclinical pneumonia or no pneumonia; n = 10). All analyses

were performed using R statistical software (Version 3.0.1; R Statistical Project), the *edgeR* package, and *cuffdiff*^{84,141-145}. All normalizations and calculations were performed using the recommended pipeline per the *edgeR* and *Tophat* authors' instructions. Briefly, the *HTSeq* generated count table was filtered to remove genes to which 0 reads mapped in all samples. In *edgeR* the tabulated read counts were then normalized and tag-wise and common dispersions estimated. Differentially expressed genes were defined as those genes having a false discovery rate (FDR) ≤ 0.05 that were identified with the *exactTest* function. The *cuffdiff* function of the *Tophat* tool suite was used to calculate differentially expressed genes using the default settings with the *accepted_hits.bam* file from the *Tophat* mapping step used as input. Genes having a q-value (FDR) ≤ 0.05 were considered differentially expressed. *Cuffdiff* was only used to test for differential expression using the AA vs. AB/BB and genotypic pairwise comparisons described above.

Biological pathway analysis was performed using the Ingenuity Pathway Analysis (IPA) (Qiagen, Venlo, Netherlands; www.ingenuity.com) tool-kit. Output files from both *edgeR* and *cuffdiff* were used in their respective pathway analyses. Input files for IPA used the following information from the *edgeR* or *cuffdiff* outputs: a column containing an Ensembl gene identifier, a corresponding RefSeq gene name where applicable, the log fold change of expression for each gene between the 2 groups tested, and a FDR for each gene tested.

Visualization of RNA-Seq read coverage across the region of interest was carried out using *BEDTools* and the UCSC online genome browser by assigning reads from the accepted_hits.bam output files from the previously described *Tophat* mapping step^{146,147}. Based on evidence from visualization of the RNA-Seq reads, transcript variation across the region of interest (*viz.*, chr26; targeted analysis) was also assessed. The *cufflinks* function of the *Tophat* tool suite was used to identify alternatively spliced and novel transcripts at the *TRPM2* locus⁸⁴. Briefly, *cufflinks* does not use an annotation to map and calculate read counts at genes, rather it uses a *de novo* approach for calculating transcripts and isoforms prior to mapping reads to an annotation. Targeted *TRPM2* analysis was performed by using the datasets generated from the *cuffdiff* comparisons previously described. *Cuffdiff* generates output files containing the transcript (gene, encompassing all isoforms) abundances and isoform (alternatively spliced transcripts) abundances which were extracted and expression level compared for specific individual transcripts.

Table 3.1. Transcriptome-wide differentially expressed genes identified by *edgeR* analysis.

Ensembl ID	RefSeq ID	Log FC	Log CPM	P Value	FDR
ENSECAG00000022239	ANKRD22	-5.82	0.23	0.0000	0.0000
ENSECAG00000006492	DQB	-2.00	7.30	0.0000	0.0028
ENSECAG00000006662	MPO	-3.22	-0.44	0.0000	0.0483
ENSECAG00000017147	C15orf52	-3.08	-1.66	0.0000	0.0968
ENSECAG00000002249	PLEKHG4B	-4.64	-1.57	0.0000	0.0983
ENSECAG00000008171	N/A	-3.80	-0.68	0.0000	0.1476
ENSECAG00000008238	S100A5	-3.41	-1.98	0.0001	0.1476
ENSECAG00000016666	OMG	0.89	6.37	0.0001	0.2650
ENSECAG00000006656	N/A	-3.08	-2.89	0.0002	0.4258
ENSECAG00000024043	CSTA	-2.09	2.50	0.0003	0.4904

Table 3.2. Transcriptome-wide differentially expressed genes identified by *cuffdiff* analysis.

Ensembl ID	RefSeq ID	Log ₂ FC	P value	Q Value	Significant
ENSECAG00000024043	CSTA	-2.08	0.0001	0.0122	yes
ENSECAG00000024259	DQA	-1.83	0.0001	0.0122	yes
ENSECAG00000019922	ADAMDEC1	-1.39	0.0001	0.0122	yes
ENSECAG00000009142	DQA	-1.34	0.0001	0.0122	yes
ENSECAG00000020816	PDLIM1	-1.32	0.0001	0.0122	yes
ENSECAG00000015109	N/A	-1.28	0.0001	0.0122	yes
ENSECAG00000012883	CEBPE	-1.27	0.0001	0.0122	yes
ENSECAG00000023062	N/A	-1.26	0.0001	0.0122	yes
ENSECAG00000019130	SIRPG	-1.22	0.0001	0.0122	yes
ENSECAG00000011315	EMR3	-1.17	0.0001	0.0122	yes
ENSECAG00000013660	C1orf186	-1.16	0.0002	0.0371	yes
ENSECAG00000025078	SUSD2	-1.05	0.0001	0.0122	yes
ENSECAG00000016730	CSMD1	-0.99	0.0001	0.0122	yes
ENSECAG00000001282	CCR3	-0.95	0.0001	0.0122	yes
ENSECAG00000008322	GZMH	0.79	0.0002	0.0371	yes
ENSECAG00000007621	TRIP11	0.86	0.0003	0.0435	yes
ENSECAG00000018564	SPATS2L	0.87	0.0001	0.0221	yes
ENSECAG00000019111	CD163	0.89	0.0002	0.0308	yes

Table 3.2. Continued

ENSECAG00000020763	MGST1	0.91	0.0001	0.0221	yes
ENSECAG00000014422	OAS2	0.92	0.0001	0.0122	yes
ENSECAG00000011776	MX1	0.93	0.0001	0.0221	yes
ENSECAG00000021989	DDX58	0.94	0.0002	0.0308	yes
ENSECAG00000003474	TTLL3	0.94	0.0001	0.0122	yes
ENSECAG00000001399	SAMD9	1.03	0.0001	0.0221	yes
ENSECAG00000014218	SIGLEC1	1.04	0.0003	0.0435	yes
ENSECAG00000008274	CLEC4E	1.05	0.0001	0.0122	yes
ENSECAG00000010117	S100A9	1.05	0.0001	0.0122	yes
ENSECAG00000013762	NCR1	1.07	0.0002	0.0308	yes
ENSECAG00000023733	MMP-1	1.10	0.0001	0.0221	yes
ENSECAG00000015006	FGFR1	1.10	0.0003	0.0435	yes
ENSECAG00000007133	TPPP3	1.11	0.0001	0.0122	yes
ENSECAG00000022042	PNP	1.11	0.0001	0.0122	yes
ENSECAG00000008356	ZNF577	1.15	0.0003	0.0435	yes
ENSECAG00000012235	BAZ2B	1.15	0.0001	0.0122	yes
ENSECAG00000009742	S100A12	1.15	0.0001	0.0122	yes
ENSECAG00000021476	MMP8	1.16	0.0001	0.0122	yes
ENSECAG00000009271	S100A8	1.18	0.0001	0.0122	yes
ENSECAG00000019411	HERC6	1.20	0.0001	0.0122	yes
ENSECAG00000026820	SEPP1	1.22	0.0001	0.0122	yes
ENSECAG00000007881	IFIH1	1.24	0.0001	0.0122	yes
ENSECAG00000014645	OASL	1.29	0.0001	0.0122	yes
ENSECAG00000010153	IFIT4	1.33	0.0001	0.0122	yes
ENSECAG00000013874	SPARC	1.44	0.0002	0.0308	yes
ENSECAG00000001481	SAMD9L	1.47	0.0001	0.0122	yes
ENSECAG00000008809	OAS3	1.51	0.0001	0.0122	yes
ENSECAG00000000500	IF16	1.53	0.0001	0.0122	yes
ENSECAG00000015395	HERC5	1.54	0.0001	0.0122	yes
ENSECAG00000001324	ISG15	1.55	0.0001	0.0122	yes
ENSECAG00000008594	BTN3A1	1.59	0.0001	0.0122	yes
ENSECAG00000006913	N/A	1.90	0.0001	0.0122	yes
ENSECAG00000019949	CYP4F	1.92	0.0001	0.0122	yes
ENSECAG00000017437	MYLPF	2.38	0.0002	0.0371	yes
ENSECAG00000004349	IFIT5	2.54	0.0001	0.0122	yes
ENSECAG00000020407	MYBPC2	2.64	0.0002	0.0371	yes
ENSECAG00000010020	HBB	2.73	0.0001	0.0122	yes
ENSECAG00000023971	TNNT3	4.46	0.0002	0.0371	yes
ENSECAG00000019728	TNNC2	5.11	0.0001	0.0122	yes

Table 3.2. Continued

ENSECAG00000005487	N/A	8.08	0.0001	0.0122	yes
---------------------------	-----	------	--------	--------	-----

Results

Transcriptome-wide differential gene expression (untargeted analysis)

RNA-Seq read counts were generated for each sample at all gene loci using the ensemble gene annotation reference resulting in counts for all samples at 26,991 genes. We removed 8,212 genes for which all samples had 0 reads mapped, leaving 18,779 genes for further analysis. The first analysis performed was a comparison of the AA genotype foals and the non-AA genotyped foals. This comparison revealed 3 genes (*ANKRD2*, *DQB*, and *MPO*; Table 3.1) that were identified as differentially expressed with an $FDR \leq 0.05$. All 3 of these genes were up-regulated in the AA genotyped foals. The second analysis of pairwise comparisons among the genotypes (*viz.*, AA vs AB, AB vs BB, and AA vs BB) did not identify any differentially expressed genes. The third analysis comparing the 2 horses that had clinical pneumonia as foals and the 10 horses that had not developed clinical signs as foals revealed no differentially expressed genes. *Cuffdiff* was then used to test for transcriptome-wide differential gene expression between the AA and non-AA genotyped samples. The *cuffdiff* analysis identified 58 differentially expressed genes with an $FDR \leq 0.05$ (Table 3.2). Inspection of the results from the 2 transcriptome-wide analyses comparing the AA with the non-AA genotypes

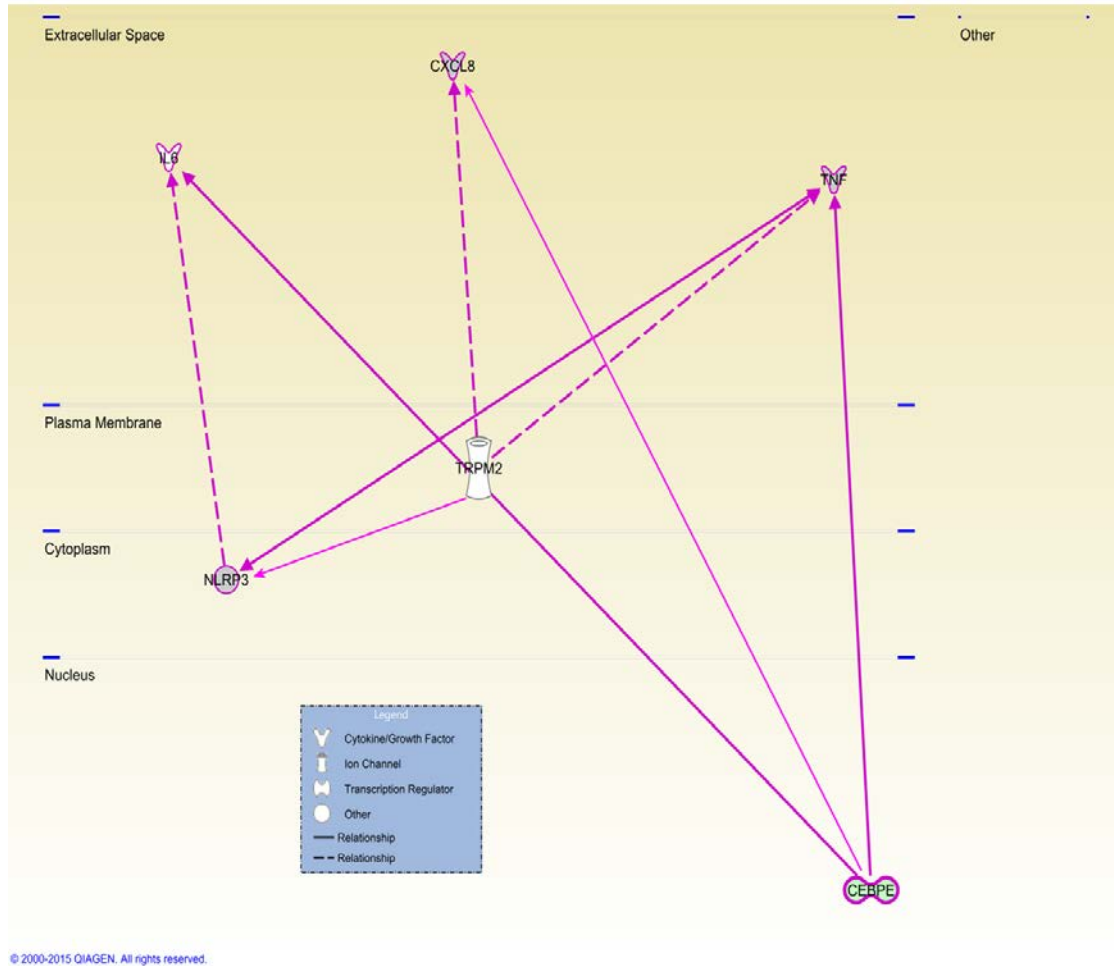


Figure 3.1. Results of the pathway analysis. *TRPM2* was not identified as differentially expressed in the analysis but was added for the purpose of identifying *TRPM2*'s link with the differentially expressed genes.

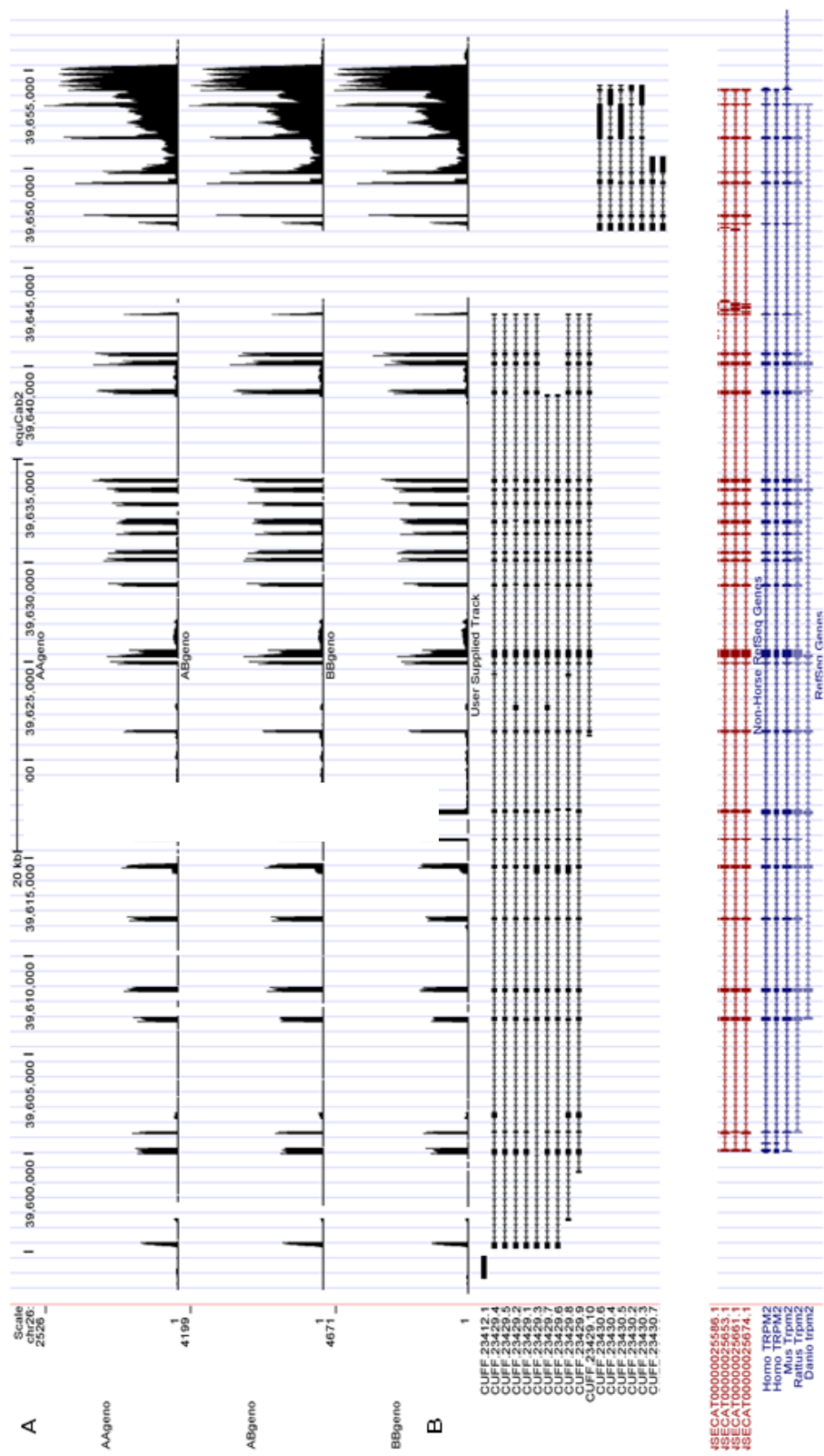


Figure 3.2. (A) Bedgraphs representing RNA-Seq coverage of *TRPM2* for each genotype. (B) Cufflinks output of *TRPM2* novel isoform overlaying the equine Ensembl predicted and RefSeq gene annotations.

using *edgeR* and *cuffdiff* revealed that no genes were concordantly identified as being differentially expressed. Expression levels of *DQB* was closest to being differentially expressed ($P = 0.00075$, $FDR = 0.108$) in the *cuffdiff* analysis, with the *ANKRD22* and *MPO* having FDRs of 0.73 and 0.63 respectively.

Looking first at the 3 genes identified as differentially expressed in the *edgeR* analysis, IPA was unable to link *ANKRD22*, *DQB*, and *MPO* through any pathway. Using the 58 differentially expressed genes found in the *cuffdiff* analysis, IPA was able to build a pathway which linked the differentially expressed genes (Figure 3.1). The top pathway identified included 17 of the differentially expressed genes and was related to host antimicrobial and inflammatory response as well as cell-to-cell signaling and interaction. One of the top up-regulated genes in the horses with AA genotypes compared to the non-AA genotypes was CCAAT/enhancer binding protein epsilon (*C/EBPE*) which had a 1.3-fold increase in expression over non-AA genotyped horses and was a critical component of the identified pathway structure.

Targeted analysis

Visualization of RNA-Seq coverage across the region on chromosome 26 was performed by creating bedgraphs (Figure 3.2 A) which revealed some potential novel transcripts, exons, and 3' UTRs. These findings warranted further investigation within the region of interest as novel transcripts and isoform-specific expression were not accounted for in the transcriptome-wide analyses.

To identify novel exons and transcripts we used *cufflinks* to create a new gene model representing the region around and including *TRPM2*. Transcriptome-wide, *cufflinks* assembled 34,294 transcripts and output generated was visualized in the UCSC genome browser. At the *TRPM2* locus on chromosome 26, *cufflinks* output showed the program had assembled 2 distinct genes instead of the single *TRPM2* gene currently annotated in the browser (Figure 3.2 B), that will be referred to as *TRPM2-1* and *TRPM2-2*. The currently annotated *TRPM2* gene was broken by *cufflinks* into a novel predicted transcript starting upstream of the reference annotation (*TRPM2-1*). The remainder of the currently annotated *TRPM2* locus was incorporated by *cufflinks* into a novel independent transcript extending to the annotated 3' UTR of *TRPM2* (*TRPM2-2*). Using the 2 novel transcripts, *TRPM2-1* and *TRPM2-2*, in place of the currently annotated *TRPM2*, we re-analyzed the RNA-Seq data. The data were mapped with *HTSeq* using these 2 new coordinates for *TRPM2* and differential expression analysis performed with *edgeR*, and no differential expression identified.

With this new gene model of *TRPM2* (*viz.*, *TRPM2-1* and *TRPM2-2*) and using the *cuffdiff* function, we extracted only the read mapping to the new transcripts. There was significant differential expression between the AA and AB genotypes with respect to the *TRPM2-1* transcript (Table 3.3).

To investigate whether the genotypes were a marker for isoform expression differences we used the *cuffdiff* option in *Tophat* to analyze the sequencing data. Our previous analysis assigned reads to overall genes and not isoforms, whereas *cuffdiff* also allows for reads to be assigned to isoforms in order to estimate transcript abundances. When extracting only the reads for the 2 novel *TRPM2* transcripts identified with *cufflinks* we identified 10 isoforms at the *TRPM2-1* locus and 7 isoforms at the *TRPM2-2* locus. This analysis showed 1 significant difference in transcript abundances between the AA and AB genotypes at the novel *TRPM2-1* and *TRPM2-2* genes which corresponds with the above transcript differences (Table 3.3).

Table 3.3. Novel *TRPM2* transcripts pairwise comparisons.

Gene ID	Transcript ID	AA	ABBB	Log ₂ FC	P value	Q value
CUFF.23429	<i>TRPM2-1</i>	90.99	118.90	0.39	0.0971	0.9995
CUFF.23430	<i>TRPM2-2</i>	168.23	214.17	0.35	0.1630	0.9995
		AA	AB			
CUFF.23429	<i>TRPM2-1</i>	91.81	130.55	0.51	0.0224	0.9238
CUFF.23430	<i>TRPM2-2</i>	169.73	225.94	0.41	0.0783	0.9997
		AA	BB			
CUFF.23429	<i>TRPM2-1</i>	90.63	110.04	0.28	0.2663	0.9991
CUFF.23430	<i>TRPM2-2</i>	167.57	205.44	0.29	0.2766	0.9991
		AB	BB			
CUFF.23429	<i>TRPM2-1</i>	128.83	110.27	-0.22	0.3456	0.9999
CUFF.23430	<i>TRPM2-2</i>	223.02	205.87	-0.12	0.6565	0.9999

Discussion

Biological implications

The findings reported in this paper are an additional piece of highly compelling evidence of the role of the innate immune response and the subsequent host outcome after infection by *R. equi*. As previously reported, *TRPM2* markers have been shown to

associate with clinical disease caused by *R. equi*. The TRPM2 gene was biologically plausible as a candidate gene because TRPM2 has been shown to increase tissue damage at sites of inflammation in a mouse model of ulcerative colitis^{52,54}. Also, it has been demonstrated *in vitro* that a short isoform of *TRPM2* inhibits calcium influx while increasing cell viability¹³⁷. On the basis of these findings, we considered it important to understand the expression pattern across the region of interest identified in our previous GWAS. We found evidence indicating that alternative splicing likely occurs within the *TRPM2* locus in horses resulting in multiple isoforms. Although we did not attempt to verify the functionality of these transcripts in horses, an alternative transcript has been functionally characterized in human-derived cell lines¹³⁷; thus it is plausible that these equine splice variants might differ functionally¹³⁷. Considering the genes identified as being differentially expressed by *cuffdiff*, 1 gene was of great interest, viz., *C/EBPE*. The *C/EBP* gene and its isoforms are known to be expressed by human neutrophils and play an integral part in inducing several inflammatory cytokines¹⁴⁸. C/EBP was shown to bind the promoter of interleukin 8 (*IL-8*, *CXCL8*) when stimulated by LPS and shows a direct link between *C/EBP* expression and the innate immune system. Another study has also highlighted the role of *TRPM2* in positively regulating *CXCL8* production leading to increased tissue damage at sites of inflammation when compared to *TRPM2*-deficient mice⁵⁴. Another potential pathway to cell death and excessive tissue damage could be a result of the accumulation on intracellular iron (Fe^{2+}) which has been shown to sensitize TRPM2 channels resulting in an upregulation of *CXCL8* production¹⁴⁹.

Differential gene expression analyses

The first analysis using compared the samples based on a horse's phenotypic classification when it was a foal. This was done to identify any potential changes in expression that occurred as a result of disease status while foals. This did not appear to be the case as there was no evidence that disease status as a foal had any effect on expression as an adult horse. The transcriptome-wide analysis using both *edgeR* and *cuffdiff* did not reveal any concordant results. This may be attributable to several factors. Each program uses a different method to predict differentially expressed genes and each is tailored to conduct different types of analysis. *Cuffdiff* uses a mixture of distributions to account for the uncertainty in mapping a read and the variability in read count while *edgeR* primarily focuses on the variability in read count across replicates^{84,141}. The results from *edgeR* appear to be more conservative in our experiment as only 3 genes were identified as differentially expressed in comparison to *cuffdiff* which identified 58 genes. There is also evidence to suggest that *edgeR* is not always a more conservative approach; thus, the observed discrepancy might reflect some other biological or technical property present in the data but no other metrics suggest this to be the case¹⁵⁰. Also, *cuffdiff* uses the accepted_hits.bam files and a gene annotation file to calculate differential expression. This removes the *HTSeq* count step and might have contributed to the differing results. In an effort to maximize the inferences drawn from our data, we elected to use the genes identified by *cuffdiff* to better leverage our data in elucidating biological pathways and processes playing a role in susceptibility to *R. equi* pneumonia.

There are several other factors to consider regarding RNA-Seq and the outcome of its analysis. RNA-Seq reflects steady state levels of RNA which encompasses the rate of transcription, rate of degradation, post-transcriptional phases, and post-translational modifications¹⁵¹. For example, a 1.3-fold increase in expression of *C/EBP* within the AA genotypes group over the non-AA genotypes is a complex finding, as it is in any case investigating differentially expressed genes. A first step in following up on the findings and better understanding this gene and pathway as it relates to *R. equi* pneumonia would be to confirm the RNA expressions levels by another method. Also, we do not know if a 1.3-fold increase in RNA correlates and indicates a 1.3 fold increase in the protein level of C/EBP in these horses. A recent study found that on average only 40% of the variability in protein levels of the cell could be explained by mRNA levels¹⁵². This finding shows the dynamic processes of transcription and translation cannot be easily generalized as to their functional implications.

Limitations

One limitation of this study is that it was conducted in mature horses and not in foals: gene expression as adults may not reflect the gene expression of these horses as foals when they were susceptible to *R. equi*; however, the marker genotypes will not have changed (*i.e.*, AA, AB, BB) and therefore their impact on functional transcription should remain the same. To ensure that clinical status as a foal was not impacting differential gene expression an adult, we used their respective phenotypic classifier as foals to group them for a differential expression analysis. A second limitation is that the region of

interest's involvement with and relationship to *R. equi* infection may be of a tissue-specific nature (*e.g.*, in the lung or in alveolar macrophages) and thus not captured or accurately reflected by RNA-Seq data from whole blood. A third limitation is the small sample size of the study but note that our sample size is dictated by funding. Previous studies have yielded important results using fewer horses and these presently reported results should be considered relevant to the understanding of susceptibility to *R. equi*^{86,87,94}. Despite these limitations, we believe the results and contribution to knowledge of this disease outweigh these limitations.

CHAPTER IV

CONCLUSIONS

Genetic evidence regarding foal susceptibility to *R. equi* pneumonia is very clear in its common theme of implicating innate immune responses. It is certainly the case that susceptibility to *R. equi* pneumonia is controlled not by one, but by many genes within the horse and it is important to consider all findings regarding *R. equi* together when drawing conclusions. The findings presented here further strengthen that theme as concordant results were also found. The literature, which has been reviewed and presented here, agrees with the findings of this work. The first studies identifying genes associated with *R. equi* pneumonia were candidate gene studies and predominantly conducted using the Thoroughbred breed. Although the same associations were not made within the analysis of these data sets, overall themes of innate immunity genes and pathways were identified. This is an important finding as this work was conducted in Quarter horses. Generating supporting evidence across multiple breeds is important and highly compelling evidence that foal susceptibility to *R. equi* pneumonia is regulated through innate immune pathways. The importance of neutrophil function and signaling was a common theme found by these analyses.

This research also generated new hypothesis that will require further attention to identify the role of genetic variation in susceptibility to *R. equi* pneumonia. Confirmation of the isoform expression at the *TRPM2* locus as well as further evidence supporting the gene model will be required. The evidence presented in the human literature warrants further

investigation of this possibility in the horse as well. Findings that are generated by future studies will be essential steps in identifying therapeutic targets and aiding in the discovery of novel treatments for *R. equi* pneumonia.

REFERENCES

1. Prescott JF. *Rhodococcus equi*: an animal and human pathogen. *Clin Microbiol Rev* 1991;4:20-34.
2. Hurley JR, Begg AP. Failure of hyperimmune plasma to prevent pneumonia caused by *Rhodococcus equi* in foals. *Aust Vet J* 1995;72:418-420.
3. Venner M, Reinhold B, Beyerbach M, et al. Efficacy of azithromycin in preventing pulmonary abscesses in foals. *Vet J* 2009;179:301-303.
4. Chaffin MK, Cohen ND, Martens RJ, et al. Evaluation of the efficacy of gallium maltolate for chemoprophylaxis against pneumonia caused by *Rhodococcus equi* infection in foals. *Am J Vet Res* 2011;72:945-957.
5. Burton AJ, Giguere S, Sturgill TL, et al. Macrolide- and rifampin-resistant *Rhodococcus equi* on a horse breeding farm, Kentucky, USA. *Emerg Infect Dis* 2013;19:282-285.
6. Giguere S, Cohen ND, Chaffin MK, et al. *Rhodococcus equi*: clinical manifestations, virulence, and immunity. *Journal of veterinary internal medicine / American College of Veterinary Internal Medicine* 2011;25:1221-1230.
7. Giguere S, Hondalus MK, Yager JA, et al. Role of the 85-kilobase plasmid and plasmid-encoded virulence-associated protein A in intracellular survival and virulence of *Rhodococcus equi*. *Infect Immun* 1999;67:3548-3557.
8. Jain S, Bloom BR, Hondalus MK. Deletion of *vapA* encoding Virulence Associated Protein A attenuates the intracellular actinomycete *Rhodococcus equi*. *Mol Microbiol* 2003;50:115-128.
9. Cohen ND, Smith KE, Ficht TA, et al. Epidemiologic study of results of pulsed-field gel electrophoresis of isolates of *Rhodococcus equi* obtained from horses and horse farms. *Am J Vet Res* 2003;64:153-161.
10. Bolton T, Kuskie K, Halbert N, et al. Detection of strain variation in isolates of *Rhodococcus equi* from an affected foal using repetitive sequence-based polymerase chain reaction. *J Vet Diagn Invest* 2010;22:611-615.
11. Morton AC, Begg AP, Anderson GA, et al. Epidemiology of *Rhodococcus equi* strains on Thoroughbred horse farms. *Appl Environ Microbiol* 2001;67:2167-2175.

12. Cohen ND, Carter CN, Scott HM, et al. Association of soil concentrations of *Rhodococcus equi* and incidence of pneumonia attributable to *Rhodococcus equi* in foals on farms in central Kentucky. *Am J Vet Res* 2008;69:385-395.
13. Muscatello G, Anderson GA, Gilkerson JR, et al. Associations between the ecology of virulent *Rhodococcus equi* and the epidemiology of *R. equi* pneumonia on Australian thoroughbred farms. *Appl Environ Microbiol* 2006;72:6152-6160.
14. Boyd NK, Cohen ND, Lim WS, et al. Temporal changes in cytokine expression of foals during the first month of life. *Vet Immunol Immunopathol* 2003;92:75-85.
15. Breathnach CC, Sturgill-Wright T, Stiltner JL, et al. Foals are interferon gamma-deficient at birth. *Vet Immunol Immunopathol* 2006;112:199-209.
16. Stewart GR, Robertson BD, Young DB. Tuberculosis: a problem with persistence. *Nat Rev Microbiol* 2003;1:97-105.
17. Tabor HK, Risch NJ, Myers RM. Candidate-gene approaches for studying complex genetic traits: practical considerations. *Nat Rev Genet* 2002;3:391-397.
18. Kwon JM, Goate AM. The candidate gene approach. *Alcohol Res Health* 2000;24:164-168.
19. Mousel MR, Harrison L, Donahue JM, et al. *Rhodococcus equi* and genetic susceptibility: assessing transferrin genotypes from paraffin-embedded tissues. *J Vet Diagn Invest* 2003;15:470-472.
20. de Jong G, van Dijk JP, van Eijk HG. The biology of transferrin. *Clinica Chimica Acta* 1990;190:1-46.
21. Skaar EP. The battle for iron between bacterial pathogens and their vertebrate hosts. *PLoS Pathog* 2010;6:e1000949.
22. Horin P, Smola J, Matiasovic J, et al. Polymorphisms in equine immune response genes and their associations with infections. *Mamm Genome* 2004;15:843-850.
23. Blackwell JM, Goswami T, Evans CA, et al. SLC11A1 (formerly NRAMP1) and disease resistance. *Cell Microbiol* 2001;3:773-784.
24. Blackwell JM, Searle S, Mohamed H, et al. Divalent cation transport and susceptibility to infectious and autoimmune disease: continuation of the *Ity/Lsh/Bcg/Nramp1/Slc11a1* gene story. *Immunol Lett* 2003;85:197-203.

25. Vidal S, Tremblay ML, Govoni G, et al. The Ity/Lsh/Bcg locus: natural resistance to infection with intracellular parasites is abrogated by disruption of the Nramp1 gene. *J Exp Med* 1995;182:655-666.
26. Adams LG, Templeton JW. Genetic resistance to bacterial diseases of animals. *Rev Sci Tech* 1998;17:200-219.
27. Forbes JR, Gros P. Divalent-metal transport by NRAMP proteins at the interface of host-pathogen interactions. *Trends Microbiol* 2001;9:397-403.
28. Blackwell JM, Searle S, Goswami T, et al. Understanding the multiple functions of Nramp1. *Microbes Infect* 2000;2:317-321.
29. Halbert ND, Cohen ND, Slovis NM, et al. Variations in equid SLC11A1 (NRAMP1) genes and associations with *Rhodococcus equi* pneumonia in horses. *Journal of veterinary internal medicine / American College of Veterinary Internal Medicine* 2006;20:974-979.
30. Horin P, Sabakova K, Futas J, et al. Immunity-related gene single nucleotide polymorphisms associated with *Rhodococcus equi* infection in foals. *Int J Immunogenet* 2010;37:67-71.
31. Lander ES, Linton LM, Birren B, et al. Initial sequencing and analysis of the human genome. *Nature* 2001;409:860-921.
32. Wade CM, Giulotto E, Sigurdsson S, et al. Genome sequence, comparative analysis, and population genetics of the domestic horse. *Science* 2009;326:865-867.
33. Elsik CG, Tellam RL, Worley KC, et al. The genome sequence of taurine cattle: a window to ruminant biology and evolution. *Science* 2009;324:522-528.
34. Waterston RH, Lindblad-Toh K, Birney E, et al. Initial sequencing and comparative analysis of the mouse genome. *Nature* 2002;420:520-562.
35. Consortium TGP. A map of human genome variation from population-scale sequencing. *Nature* 2010;467:1061-1073.
36. Gibbs RA, Taylor JF, Van Tassell CP, et al. Genome-wide survey of SNP variation uncovers the genetic structure of cattle breeds. *Science* 2009;324:528-532.
37. LaFramboise T. Single nucleotide polymorphism arrays: a decade of biological, computational and technological advances. *Nucleic acids research* 2009;37:4181-4193.

38. Barsh GS, Copenhaver GP, Gibson G, et al. Guidelines for genome-wide association studies. *PLoS Genet* 2012;8:e1002812.
39. McCue ME, Bannasch DL, Petersen JL, et al. A High Density SNP Array for the Domestic Horse and Extant Perissodactyla: Utility for Association Mapping, Genetic Diversity, and Phylogeny Studies. *PLoS Genet* 2012;8:e1002451.
40. Finno CJ, Bannasch DL. Applied equine genetics. *Equine Vet J* 2014;46:538-544.
41. Go YY, Bailey E, Cook DG, et al. Genome-wide association study among four horse breeds identifies a common haplotype associated with in vitro CD3+ T cell susceptibility/resistance to equine arteritis virus infection. *J Virol* 2011;85:13174-13184.
42. Hauswirth R, Haase B, Blatter M, et al. Mutations in MITF and PAX3 cause "splashed white" and other white spotting phenotypes in horses. *PLoS Genet* 2012;8:e1002653.
43. Hill EW, McGivney BA, Gu J, et al. A genome-wide SNP-association study confirms a sequence variant (g.66493737C>T) in the equine myostatin (MSTN) gene as the most powerful predictor of optimum racing distance for Thoroughbred racehorses. *BMC Genomics* 2010;11:552.
44. Lykkjen S, Dolvik NI, McCue ME, et al. Genome-wide association analysis of osteochondrosis of the tibiotarsal joint in Norwegian Standardbred trotters. *Anim Genet* 2010;41 Suppl 2:111-120.
45. Raudsepp T, McCue ME, Das PJ, et al. Genome-wide association study implicates testis-sperm specific FKBP6 as a susceptibility locus for impaired acrosome reaction in stallions. *PLoS Genet* 2012;8:e1003139.
46. Lykkjen S, Dolvik NI, McCue ME, et al. Equine developmental orthopaedic diseases--a genome-wide association study of first phalanx plantar osteochondral fragments in Standardbred trotters. *Anim Genet* 2013;44:766-769.
47. Slatkin M. Linkage disequilibrium--understanding the evolutionary past and mapping the medical future. *Nat Rev Genet* 2008;9:477-485.
48. Petersen JL, Mickelson JR, Cothran EG, et al. Genetic diversity in the modern horse illustrated from genome-wide SNP data. *PloS one* 2013;8:e54997.
49. Kruglyak L. Prospects for whole-genome linkage disequilibrium mapping of common disease genes. *Nat Genet* 1999;22:139-144.

50. Chanock SJ, Manolio T, Boehnke M, et al. Replicating genotype-phenotype associations. *Nature* 2007;447:655-660.
51. Manolio TA, Brooks LD, Collins FS. A HapMap harvest of insights into the genetics of common disease. *J Clin Invest* 2008;118:1590-1605.
52. McQueen CM, Doan R, Dindot SV, et al. Identification of Genomic Loci Associated with *Rhodococcus equi* Susceptibility in Foals. *PloS one* 2014;9:e98710.
53. Chaffin MK, Cohen, N.D., Blodgett, G.P., *et al.*, . Evaluation of hematologic screening methods for predicting subsequent onset of clinically apparent *Rhodococcus equi* pneumonia in foals. In: Proceeding of the 59th Annual Convention of the American Association of Equine Practitioners, Nashville 2013;267.
54. Yamamoto S, Shimizu S, Kiyonaka S, et al. TRPM2-mediated Ca²⁺influx induces chemokine production in monocytes that aggravates inflammatory neutrophil infiltration. *Nat Med* 2008;14:738-747.
55. Hastings PJ, Lupski JR, Rosenberg SM, et al. Mechanisms of change in gene copy number. *Nat Rev Genet* 2009;10:551-564.
56. Hastings PJ, Ira G, Lupski JR. A microhomology-mediated break-induced replication model for the origin of human copy number variation. *PLoS Genet* 2009;5:e1000327.
57. Lupski JR. Structural Variation in the Human Genome. *New England Journal of Medicine* 2007;356:1169-1171.
58. Feuk L, Carson AR, Scherer SW. Structural variation in the human genome. *Nat Rev Genet* 2006;7:85-97.
59. Stankiewicz P, Lupski JR. Genome architecture, rearrangements and genomic disorders. *Trends Genet* 2002;18:74-82.
60. Weterings E, van Gent DC. The mechanism of non-homologous end-joining: a synopsis of synapsis. *DNA Repair (Amst)* 2004;3:1425-1435.
61. Haviv-Chesner A, Kobayashi Y, Gabriel A, et al. Capture of linear fragments at a double-strand break in yeast. *Nucleic acids research* 2007;35:5192-5202.
62. McVey M, Lee SE. MMEJ repair of double-strand breaks (director's cut): deleted sequences and alternative endings. *Trends Genet* 2008;24:529-538.

63. Lee JA, Carvalho CM, Lupski JR. A DNA replication mechanism for generating nonrecurrent rearrangements associated with genomic disorders. *Cell* 2007;131:1235-1247.
64. Sheen CR, Jewell UR, Morris CM, et al. Double complex mutations involving F8 and FUNDC2 caused by distinct break-induced replication. *Hum Mutat* 2007;28:1198-1206.
65. Dupuis MC, Zhang Z, Durkin K, et al. Detection of copy number variants in the horse genome and examination of their association with recurrent laryngeal neuropathy. *Anim Genet* 2013;44:206-208.
66. Metzger J, Philipp U, Lopes MS, et al. Analysis of copy number variants by three detection algorithms and their association with body size in horses. *BMC Genomics* 2013;14:487.
67. Kato M, Kawaguchi T, Ishikawa S, et al. Population-genetic nature of copy number variations in the human genome. *Hum Mol Genet* 2010;19:761-773.
68. Mills RE, Walter K, Stewart C, et al. Mapping copy number variation by population-scale genome sequencing. *Nature* 2011;470:59-65.
69. Carter NP. Methods and strategies for analyzing copy number variation using DNA microarrays. *Nat Genet* 2007;39:S16-21.
70. Doan R, Cohen ND, Sawyer J, et al. Whole-genome sequencing and genetic variant analysis of a Quarter Horse mare. *BMC Genomics* 2012;13:78.
71. Doan R, Cohen N, Harrington J, et al. Identification of copy number variants in horses. *Genome Res* 2012;22:899-907.
72. Ghosh S, Qu Z, Das PJ, et al. Copy number variation in the horse genome. *PLoS Genet* 2014;10:e1004712.
73. Wang W, Wang S, Hou C, et al. Genome-wide detection of copy number variations among diverse horse breeds by array CGH. *PloS one* 2014;9:e86860.
74. Park KD, Kim H, Hwang JY, et al. Copy number deletion has little impact on gene expression levels in racehorses. *Asian-Australas J Anim Sci* 2014;27:1345-1354.
75. Rosengren Pielberg G, Golovko A, Sundstrom E, et al. A cis-acting regulatory mutation causes premature hair graying and susceptibility to melanoma in the horse. *Nat Genet* 2008;40:1004-1009.

76. Olsson M, Meadows JR, Truve K, et al. A novel unstable duplication upstream of HAS2 predisposes to a breed-defining skin phenotype and a periodic fever syndrome in Chinese Shar-Pei dogs. *PLoS Genet* 2011;7:e1001332.
77. Hehir-Kwa JY, Pfundt R, Veltman JA, et al. Pathogenic or not? Assessing the clinical relevance of copy number variants. *Clin Genet* 2013;84:415-421.
78. Krepischi AC, Pearson PL, Rosenberg C. Germline copy number variations and cancer predisposition. *Future Oncol* 2012;8:441-450.
79. Liu GE, Bickhart DM. Copy number variation in the cattle genome. *Funct Integr Genomics* 2012;12:609-624.
80. Metzker ML. Emerging technologies in DNA sequencing. *Genome Res* 2005;15:1767-1776.
81. Sanger F, Nicklen S, Coulson AR. DNA sequencing with chain-terminating inhibitors. *Proceedings of the National Academy of Sciences of the United States of America* 1977;74:5463-5467.
82. Metzker ML. Sequencing technologies - the next generation. *Nat Rev Genet* 2010;11:31-46.
83. Anders S, Huber W. Differential expression analysis for sequence count data. *Genome Biol* 2010;11:R106.
84. Trapnell C, Roberts A, Goff L, et al. Differential gene and transcript expression analysis of RNA-seq experiments with TopHat and Cufflinks. *Nat Protocols* 2012;7:562-578.
85. Katz Y, Wang ET, Airoidi EM, et al. Analysis and design of RNA sequencing experiments for identifying isoform regulation. *Nat Meth* 2010;7:1009-1015.
86. Capomaccio S, Vitulo N, Verini-Supplizi A, et al. RNA sequencing of the exercise transcriptome in equine athletes. *PloS one* 2013;8:e83504.
87. Park KD, Park J, Ko J, et al. Whole transcriptome analyses of six thoroughbred horses before and after exercise using RNA-Seq. *BMC Genomics* 2012;13:473.
88. Bellone RR, Holl H, Setaluri V, et al. Evidence for a retroviral insertion in TRPM1 as the cause of congenital stationary night blindness and leopard complex spotting in the horse. *PloS one* 2013;8:e78280.

89. Das PJ, McCarthy F, Vishnoi M, et al. Stallion sperm transcriptome comprises functionally coherent coding and regulatory RNAs as revealed by microarray analysis and RNA-seq. *PloS one* 2013;8:e56535.
90. Iqbal K, Chitwood JL, Meyers-Brown GA, et al. RNA-seq transcriptome profiling of equine inner cell mass and trophectoderm. *Biol Reprod* 2014;90:61.
91. Leise BS, Watts MR, Roy S, et al. Use of laser capture microdissection for the assessment of equine lamellar basal epithelial cell signalling in the early stages of laminitis. *Equine Vet J* 2015;47:478-488.
92. Park W, Kim J, Kim HJ, et al. Investigation of de novo unique differentially expressed genes related to evolution in exercise response during domestication in Thoroughbred race horses. *PloS one* 2014;9:e91418.
93. Peffers M, Liu X, Clegg P. Transcriptomic signatures in cartilage ageing. *Arthritis Res Ther* 2013;15:R98.
94. Coleman SJ, Zeng Z, Wang K, et al. Structural annotation of equine protein-coding genes determined by mRNA sequencing. *Anim Genet* 2010;41 Suppl 2:121-130.
95. Moreton J, Malla S, Aboobaker AA, et al. Characterisation of the horse transcriptome from immunologically active tissues. *PeerJ* 2014;2:e382.
96. Hanash S. Disease proteomics. *Nature* 2003;422:226-232.
97. Chaffin MK, Cohen ND, Martens RJ. Evaluation of equine breeding farm characteristics as risk factors for development of *Rhodococcus equi* pneumonia in foals. *J Am Vet Med Assoc* 2003;222:467-475.
98. Ainsworth DM, Eicker SW, Yeagar AE, et al. Associations between physical examination, laboratory, and radiographic findings and outcome and subsequent racing performance of foals with *Rhodococcus equi* infection: 115 cases (1984-1992). *J Am Vet Med Assoc* 1998;213:510-515.
99. Ardans AA HS, Spensley MS, et al. Studies of naturally occurring and experimental *Rhodococcus equi*. *Proc Am Assoc Equine Pract* 1986;32:129-144.
100. Slovis NM, McCracken JL, Mundy G. How to use thoracic ultrasound to screen foals for *Rhodococcus equi* at affected farms. In. Lexington: Am Assoc Equine Pract; 2005:274-278.

101. Martens RJ, Martens JG, Fiske RA, et al. Rhodococcus equi foal pneumonia: protective effects of immune plasma in experimentally infected foals. *Equine Vet J* 1989;21:249-255.
102. Signer-Hasler H, Flury C, Haase B, et al. A genome-wide association study reveals loci influencing height and other conformation traits in horses. *PloS one* 2012;7:e37282.
103. Hou Y, Bickhart D, Chung H, et al. Analysis of copy number variations in Holstein cows identify potential mechanisms contributing to differences in residual feed intake. *Funct Integr Genomics*:1-7.
104. Corbin LJ, Blott SC, Swinburne JE, et al. A genome-wide association study of osteochondritis dissecans in the Thoroughbred. *Mamm Genome* 2012;23:294-303.
105. Petersen JL, Mickelson JR, Rendahl AK, et al. Genome-wide analysis reveals selection for important traits in domestic horse breeds. *PLoS Genet* 2013;9:e1003211.
106. Brosnahan MM, Brooks SA, Antczak DF. Equine clinical genomics: A clinician's primer. *Equine Vet J* 2010;42:658-670.
107. Graves KT, Henney PJ, Ennis RB. Partial deletion of the LAMA3 gene is responsible for hereditary junctional epidermolysis bullosa in the American Saddlebred Horse. *Anim Genet* 2009;40:35-41.
108. Lee T, Cho S, Seo KS, et al. Genetic variants and signatures of selective sweep of Hanwoo population (Korean native cattle). *BMB Rep* 2013;46:346-351.
109. Luo J, Yu Y, Mitra A, et al. Genome-wide copy number variant analysis in inbred chickens lines with different susceptibility to Marek's disease. *G3 (Bethesda)* 2013;3:217-223.
110. Hou Y, Liu GE, Bickhart DM, et al. Genomic regions showing copy number variations associate with resistance or susceptibility to gastrointestinal nematodes in Angus cattle. *Funct Integr Genomics* 2012;12:81-92.
111. Nicholas TJ, Baker C, Eichler EE, et al. A high-resolution integrated map of copy number polymorphisms within and between breeds of the modern domesticated dog. *BMC Genomics* 2011;12:414.
112. Gokcumen O, Babb PL, Iskow RC, et al. Refinement of primate copy number variation hotspots identifies candidate genomic regions evolving under positive selection. *Genome Biol* 2011;12:R52.

113. Liu GE, Brown T, Hebert DA, et al. Initial analysis of copy number variations in cattle selected for resistance or susceptibility to intestinal nematodes. *Mamm Genome* 2011;22:111-121.
114. Liu GE, Hou Y, Zhu B, et al. Analysis of copy number variations among diverse cattle breeds. *Genome Res* 2010;20:693-703.
115. Nicholas TJ, Cheng Z, Ventura M, et al. The genomic architecture of segmental duplications and associated copy number variants in dogs. *Genome Res* 2009;19:491-499.
116. Giguère S, Cohen ND, Keith Chaffin M, et al. Diagnosis, Treatment, Control, and Prevention of Infections Caused by *Rhodococcus equi* in Foals. *Journal of veterinary internal medicine / American College of Veterinary Internal Medicine* 2011;25:1209-1220.
117. Purcell S, Neale B, Todd-Brown K, et al. PLINK: a tool set for whole-genome association and population-based linkage analyses. *Am J Hum Genet* 2007;81:559-575.
118. Consortium WTCC. Genome-wide association study of 14,000 cases of seven common diseases and 3,000 shared controls. *Nature* 2007;447:661-678.
119. Aulchenko YS, Ripke S, Isaacs A, et al. GenABEL: an R library for genome-wide association analysis. *Bioinformatics* 2007;23:1294-1296.
120. Vazquez AI, Bates DM, Rosa GJ, et al. Technical note: an R package for fitting generalized linear mixed models in animal breeding. *J Anim Sci* 2010;88:497-504.
121. Price AL, Zaitlen NA, Reich D, et al. New approaches to population stratification in genome-wide association studies. *Nat Rev Genet* 2010;11:459-463.
122. Edgar R, Domrachev M, Lash AE. Gene Expression Omnibus: NCBI gene expression and hybridization array data repository. *Nucleic acids research* 2002;30:207-210.
123. Ye S, Dhillon S, Ke X, et al. An efficient procedure for genotyping single nucleotide polymorphisms. *Nucleic acids research* 2001;29:E88-88.
124. Untergasser A, Cutcutache I, Koressaar T, et al. Primer3--new capabilities and interfaces. *Nucleic acids research* 2012;40:e115.
125. Hochberg Y. A sharper Bonferroni procedure for multiple tests of significance. *Biometrika* 1988;75:800-802.

126. Cohen ND, Kuskie KR, Smith JL, et al. Association of airborne concentration of virulent *Rhodococcus equi* with location (stall versus paddock) and month (January through June) on 30 horse breeding farms in central Kentucky. *Am J Vet Res* 2012;73:1603-1609.
127. Dawson TRMY, Horohov DW, Meijer WG, et al. Current understanding of the equine immune response to *Rhodococcus equi*. An immunological review of *R. equi* pneumonia. *Vet Immunol Immunopathol* 2010;135:1-11.
128. Heller MC, Jackson KA, Watson JL. Identification of immunologically relevant genes in mare and foal dendritic cells responding to infection by *Rhodococcus equi*. *Vet Immunol Immunopathol* 2010;136:144-150.
129. Martens RJ, Cohen ND, Jones SL, et al. Protective role of neutrophils in mice experimentally infected with *Rhodococcus equi*. *Infect Immun* 2005;73:7040-7042.
130. Chaffin MK, Cohen ND, Martens RJ, et al. Hematologic and immunophenotypic factors associated with development of *Rhodococcus equi* pneumonia of foals at equine breeding farms with endemic infection. *Vet Immunol Immunopathol* 2004;100:33-48.
131. Tateda K, Moore TA, Newstead MW, et al. Chemokine-dependent neutrophil recruitment in a murine model of *Legionella* pneumonia: potential role of neutrophils as immunoregulatory cells. *Infect Immun* 2001;69:2017-2024.
132. Lyons MJ, Yoshimura T, McMurray DN. Interleukin (IL)-8 (CXCL8) induces cytokine expression and superoxide formation by guinea pig neutrophils infected with *Mycobacterium tuberculosis*. *Tuberculosis (Edinb)* 2004;84:283-292.
133. Bordin AI, Liu M, Nerren JR, et al. Neutrophil function of neonatal foals is enhanced in vitro by CpG oligodeoxynucleotide stimulation. *Vet Immunol Immunopathol* 2012;145:290-297.
134. Nerren JR, Martens RJ, Payne S, et al. Age-related changes in cytokine expression by neutrophils of foals stimulated with virulent *Rhodococcus equi* in vitro. *Vet Immunol Immunopathol* 2009;127:212-219.
135. Liu M, Liu T, Bordin A, et al. Activation of foal neutrophils at different ages by CpG oligodeoxynucleotides and *Rhodococcus equi*. *Cytokine* 2009;48:280-289.
136. Ackermann M. Acute Inflammation. In: MD McGavin JZ, ed. *Pathologic Basis of Veterinary Disease*, 4 ed 2007:120.

137. Zhang W, Chu X, Tong Q, et al. A novel TRPM2 isoform inhibits calcium influx and susceptibility to cell death. *The Journal of biological chemistry* 2003;278:16222-16229.
138. McQueen CM, Dindot SV, Foster MJ, et al. Genetic Susceptibility to *Rhodococcus equi*. *Journal of veterinary internal medicine / American College of Veterinary Internal Medicine* 2015.
139. Wang Z, Gerstein M, Snyder M. RNA-Seq: a revolutionary tool for transcriptomics. *Nat Rev Genet* 2009;10:57-63.
140. Anders S, Pyl PT, Huber W. HTSeq--a Python framework to work with high-throughput sequencing data. *Bioinformatics* 2015;31:166-169.
141. Robinson MD, McCarthy DJ, Smyth GK. edgeR: a Bioconductor package for differential expression analysis of digital gene expression data. *Bioinformatics* 2010;26:139-140.
142. McCarthy DJ, Chen Y, Smyth GK. Differential expression analysis of multifactor RNA-Seq experiments with respect to biological variation. *Nucleic acids research* 2012;40:4288-4297.
143. Robinson MD, Smyth GK. Moderated statistical tests for assessing differences in tag abundance. *Bioinformatics* 2007;23:2881-2887.
144. Robinson MD, Smyth GK. Small-sample estimation of negative binomial dispersion, with applications to SAGE data. *Biostatistics* 2008;9:321-332.
145. Zhou X, Lindsay H, Robinson MD. Robustly detecting differential expression in RNA sequencing data using observation weights. *Nucleic acids research* 2014;42:e91.
146. Quinlan AR, Hall IM. BEDTools: a flexible suite of utilities for comparing genomic features. *Bioinformatics* 2010;26:841-842.
147. Kent WJ, Sugnet CW, Furey TS, et al. The human genome browser at UCSC. *Genome Res* 2002;12:996-1006.
148. Cloutier A, Guindi C, Larivee P, et al. Inflammatory cytokine production by human neutrophils involves C/EBP transcription factors. *Journal of immunology (Baltimore, Md : 1950)* 2009;182:563-571.

149. Shimizu S, Yonezawa R, Negoro T, et al. Sensitization of HO-induced TRPM2 activation and subsequent interleukin-8 (CXCL8) production by intracellular Fe in human monocytic U937 cells. *The international journal of biochemistry & cell biology* 2015.
150. Nookaew I, Papini M, Pornputtapong N, et al. A comprehensive comparison of RNA-Seq-based transcriptome analysis from reads to differential gene expression and cross-comparison with microarrays: a case study in *Saccharomyces cerevisiae*. *Nucleic acids research* 2012;40:10084-10097.
151. Neymotin B, Athanasiadou R, Gresham D. Determination of in vivo RNA kinetics using RATE-seq. *RNA (New York, NY)* 2014;20:1645-1652.
152. Schwanhaussner B, Busse D, Li N, et al. Global quantification of mammalian gene expression control. *Nature* 2011;473:337-342.

APPENDIX 2.1

Supplementary Table 2.1. CNV regions called from foals across all phenotypic groups during CNV association analysis.

Comparison 1		Comparison 2		Comparison 3	
CNV 1	test	CNV2	test	CNV3	test
CNVR_1261	0.019173035	CNVR_0753	0.01013	CNVR_1354	0.010975
CNVR_1936	0.026441799	CNVR_0873	0.012085	CNVR_0458	0.026079
CNVR_0645	0.030323779	CNVR_1936	0.032181	CNVR_0645	0.029019
CNVR_1354	0.036282715	CNVR_0391	0.032609	CNVR_1483	0.039921
CNVR_0873	0.054436922	CNVR_0179	0.03349	CNVR_1253	0.04016
CNVR_1483	0.0593947	CNVR_1261	0.033965	CNVR_1815	0.041391
CNVR_0753	0.065976784	CNVR_1905	0.048269	CNVR_1237	0.048842
CNVR_1457	0.066759568	CNVR_0868	0.061215	CNVR_0777	0.049978
CNVR_1166	0.071559078	CNVR_1084	0.062855	CNVR_0841	0.053025
CNVR_1815	0.079049058	CNVR_1148	0.064187	CNVR_1457	0.054011
CNVR_1074	0.084420521	CNVR_1074	0.087307	CNVR_0881	0.060429
CNVR_0868	0.102610495	CNVR_0090	0.088076	CNVR_2115	0.063301
CNVR_1237	0.106753947	CNVR_1190	0.088206	CNVR_0112	0.063932
CNVR_1122	0.112387847	CNVR_0643	0.091409	CNVR_1909	0.069793
CNVR_0391	0.117231864	CNVR_1189	0.09713	CNVR_1936	0.074018
CNVR_1293	0.121555531	CNVR_0915	0.10311	CNVR_0137	0.074887
CNVR_1905	0.122032064	CNVR_0708	0.108013	CNVR_1293	0.078871
CNVR_0773	0.130179977	CNVR_0890	0.10838	CNVR_1261	0.081324
CNVR_0458	0.130778784	CNVR_0218	0.110601	CNVR_1930	0.082416
CNVR_2115	0.131219107	CNVR_0465	0.110615	CNVR_1166	0.084361
CNVR_1097	0.135570469	CNVR_1900	0.110871	CNVR_0008	0.087838
CNVR_1253	0.136510275	CNVR_1458	0.111695	CNVR_2174	0.089134
CNVR_0008	0.139943975	CNVR_0463	0.123782	CNVR_1555	0.092809
CNVR_1418	0.15767926	CNVR_0525	0.126266	CNVR_1198	0.094162
CNVR_2221	0.160157153	CNVR_1081	0.128234	CNVR_1513	0.098585
CNVR_0643	0.188492653	CNVR_0645	0.128611	CNVR_1971	0.111661
CNVR_1678	0.193745367	CNVR_0094	0.129254	CNVR_0309	0.11343
CNVR_1190	0.194601997	CNVR_1881	0.135123	CNVR_1841	0.114328
CNVR_0890	0.195951154	CNVR_0743	0.135193	CNVR_1662	0.119684
CNVR_0915	0.204958444	CNVR_1122	0.135547	CNVR_1097	0.12376

Supp. Tbl. 2.1. Continued

CNVR_0525	0.212064567	CNVR_0742	0.139554	CNVR_1274	0.140899
CNVR_0635	0.225165786	CNVR_1764	0.139793	CNVR_1826	0.149904
CNVR_1915	0.230353666	CNVR_0939	0.14053	CNVR_0269	0.15104
CNVR_1900	0.237406365	CNVR_1786	0.146216	CNVR_0322	0.153913
CNVR_0881	0.237700852	CNVR_0664	0.1475	CNVR_2234	0.15885
CNVR_0076	0.239895885	CNVR_0949	0.149696	CNVR_2107	0.161239
CNVR_1473	0.255928454	CNVR_1027	0.153132	CNVR_2237	0.162794
CNVR_1909	0.262603785	CNVR_0056	0.156166	CNVR_0088	0.163527
CNVR_0708	0.264237205	CNVR_0773	0.158931	CNVR_0076	0.164981
CNVR_1874	0.270338275	CNVR_0212	0.160537	CNVR_1328	0.171146
CNVR_0237	0.276379102	CNVR_1721	0.165236	CNVR_1383	0.173899
CNVR_1148	0.278418665	CNVR_1345	0.168864	CNVR_1268	0.177435
CNVR_1455	0.287915853	CNVR_2051	0.176407	CNVR_1260	0.178015
CNVR_0842	0.294342951	CNVR_2234	0.176412	CNVR_1074	0.178281
CNVR_0664	0.296260331	CNVR_1166	0.179104	CNVR_0154	0.182245
CNVR_0606	0.300079787	CNVR_1483	0.181291	CNVR_0409	0.184381
CNVR_0593	0.300656968	CNVR_1095	0.181427	CNVR_2011	0.184488
CNVR_1474	0.301525745	CNVR_1457	0.182906	CNVR_1636	0.187221
CNVR_0094	0.306255913	CNVR_1355	0.185885	CNVR_1084	0.187467
CNVR_1351	0.306754219	CNVR_0295	0.189518	CNVR_0006	0.192393
CNVR_0939	0.307035028	CNVR_0993	0.192112	CNVR_1859	0.199032
CNVR_1841	0.308594592	CNVR_1700	0.192922	CNVR_0217	0.199663
CNVR_2127	0.308704165	CNVR_0128	0.194288	CNVR_2145	0.200479
CNVR_0847	0.312907485	CNVR_1787	0.196726	CNVR_0785	0.200527
CNVR_2149	0.317208702	CNVR_1870	0.196915	CNVR_1956	0.200651
CNVR_0137	0.320305357	CNVR_0735	0.199355	CNVR_2059	0.213569
CNVR_1813	0.326881751	CNVR_1066	0.200972	CNVR_0814	0.219067
CNVR_1833	0.33054393	CNVR_0321	0.201898	CNVR_2083	0.222987
CNVR_1002	0.335267606	CNVR_0816	0.202033	CNVR_1263	0.230647
CNVR_1555	0.339863597	CNVR_1214	0.202204	CNVR_2118	0.238848
CNVR_1278	0.341389981	CNVR_2243	0.203174	CNVR_1122	0.244064
CNVR_0993	0.34256069	CNVR_2152	0.203279	CNVR_0847	0.247441
CNVR_2107	0.3430277	CNVR_1874	0.205366	CNVR_0169	0.249155
CNVR_1027	0.346081794	CNVR_1085	0.207509	CNVR_1678	0.252626
CNVR_0169	0.347238742	CNVR_1002	0.21149	CNVR_2232	0.25493
CNVR_1458	0.352084477	CNVR_1418	0.214819	CNVR_0250	0.255496
CNVR_1965	0.35355713	CNVR_1678	0.21793	CNVR_0465	0.256833
CNVR_0218	0.355955648	CNVR_1726	0.219095	CNVR_2221	0.261694
CNVR_1342	0.356569729	CNVR_0412	0.223336	CNVR_1187	0.26419

Supp. Tbl. 2.1. Continued

CNVR_1066	0.356592914	CNVR_2221	0.225817	CNVR_2069	0.266439
CNVR_1513	0.356685244	CNVR_0635	0.22785	CNVR_2149	0.273412
CNVR_1198	0.356924669	CNVR_2089	0.228299	CNVR_1255	0.276083
CNVR_1636	0.357772891	CNVR_1702	0.229675	CNVR_0050	0.279331
CNVR_0322	0.358083053	CNVR_0632	0.229686	CNVR_2251	0.281156
CNVR_1255	0.367908607	CNVR_1407	0.22993	CNVR_1486	0.28153
CNVR_1894	0.372358443	CNVR_1859	0.230183	CNVR_1455	0.283633
CNVR_2145	0.373049752	CNVR_2054	0.231243	CNVR_2165	0.284531
CNVR_0777	0.373239288	CNVR_0794	0.2333	CNVR_1418	0.284891
CNVR_1268	0.374495457	CNVR_1915	0.233724	CNVR_0639	0.287119
CNVR_1260	0.375543669	CNVR_0462	0.233882	CNVR_0162	0.287996
CNVR_0154	0.378018236	CNVR_0536	0.234475	CNVR_0842	0.28943
CNVR_1061	0.380491293	CNVR_1278	0.235895	CNVR_0773	0.289497
CNVR_0162	0.381586642	CNVR_0894	0.236604	CNVR_2072	0.290513
CNVR_0248	0.384163351	CNVR_1246	0.238735	CNVR_1764	0.295355
CNVR_0417	0.385116228	CNVR_0785	0.239357	CNVR_2127	0.296268
CNVR_2118	0.386096841	CNVR_0558	0.239998	CNVR_1061	0.298337
CNVR_0949	0.386236353	CNVR_0637	0.241343	CNVR_0160	0.299678
CNVR_1446	0.386628181	CNVR_0738	0.24247	CNVR_2024	0.301934
CNVR_0295	0.392734284	CNVR_2165	0.24604	CNVR_1013	0.304986
CNVR_0841	0.393175609	CNVR_1473	0.246956	CNVR_1624	0.306462
CNVR_0056	0.393370481	CNVR_1317	0.256478	CNVR_1277	0.31006
CNVR_2181	0.395955599	CNVR_1077	0.257444	CNVR_1216	0.312502
CNVR_1623	0.397998194	CNVR_1144	0.258629	CNVR_0251	0.319321
CNVR_0463	0.398650794	CNVR_1640	0.258957	CNVR_0689	0.326737
CNVR_1700	0.400488587	CNVR_1838	0.264326	CNVR_0482	0.328061
CNVR_1826	0.402151008	CNVR_1304	0.265933	CNVR_2213	0.329374
CNVR_1355	0.406264293	CNVR_0722	0.266088	CNVR_2246	0.329672
CNVR_1752	0.408495979	CNVR_1097	0.26761	CNVR_1652	0.335554
CNVR_1400	0.412139219	CNVR_0606	0.267653	CNVR_0610	0.335554
CNVR_0894	0.419382529	CNVR_1138	0.270273	CNVR_0052	0.340177
CNVR_0128	0.423224248	CNVR_2128	0.272155	CNVR_0237	0.341367
CNVR_2015	0.430757448	CNVR_1107	0.272545	CNVR_1308	0.342132
CNVR_1956	0.436675211	CNVR_1813	0.272887	CNVR_0593	0.343487
CNVR_0462	0.437983353	CNVR_1370	0.2766	CNVR_1577	0.34689
CNVR_1246	0.440989021	CNVR_1188	0.277599	CNVR_0873	0.346904
CNVR_1039	0.442068868	CNVR_1469	0.277633	CNVR_2181	0.349781
CNVR_0558	0.448196739	CNVR_1023	0.278379	CNVR_0179	0.350252
CNVR_1693	0.452186739	CNVR_0331	0.27927	CNVR_1272	0.35201

Supp. Tbl. 2.1. Continued

CNVR_1680	0.455263752	CNVR_2015	0.281794	CNVR_1085	0.353449
CNVR_1662	0.458555504	CNVR_2009	0.286619	CNVR_1693	0.356704
CNVR_1786	0.459389915	CNVR_1926	0.28678	CNVR_1642	0.357768
CNVR_0742	0.460382863	CNVR_1474	0.286833	CNVR_2175	0.359971
CNVR_1214	0.462444812	CNVR_1015	0.28734	CNVR_1825	0.367001
CNVR_0217	0.467192905	CNVR_0063	0.291507	CNVR_2262	0.370763
CNVR_0876	0.467295248	CNVR_0052	0.291649	CNVR_1167	0.373317
CNVR_1721	0.468517458	CNVR_1885	0.292599	CNVR_2030	0.373893
CNVR_1725	0.468737315	CNVR_0084	0.292785	CNVR_1891	0.37424
CNVR_0743	0.470975233	CNVR_0493	0.293246	CNVR_0456	0.377432
CNVR_1971	0.474121677	CNVR_0245	0.293608	CNVR_1351	0.385905
CNVR_0589	0.481494511	CNVR_1446	0.295415	CNVR_0849	0.387034
CNVR_1081	0.485372036	CNVR_1634	0.29689	CNVR_2239	0.387278
CNVR_1759	0.488655354	CNVR_1930	0.301234	CNVR_2200	0.388868
CNVR_1138	0.489468285	CNVR_2157	0.303455	CNVR_1039	0.390115
CNVR_0212	0.491676969	CNVR_2060	0.304606	CNVR_0216	0.391
CNVR_0816	0.492042257	CNVR_1830	0.308676	CNVR_1189	0.396292
CNVR_1870	0.49275206	CNVR_1532	0.308718	CNVR_2148	0.397451
CNVR_1345	0.492964883	CNVR_1785	0.312669	CNVR_1915	0.39843
CNVR_0493	0.493153277	CNVR_1185	0.313966	CNVR_1879	0.398643
CNVR_0179	0.493267691	CNVR_1570	0.315686	CNVR_1914	0.404673
CNVR_1532	0.495719888	CNVR_0814	0.317145	CNVR_0895	0.410258
CNVR_0090	0.497165008	CNVR_1815	0.317497	CNVR_0875	0.410296
CNVR_0905	0.499536659	CNVR_1328	0.32428	CNVR_1058	0.410509
CNVR_1881	0.502510476	CNVR_0281	0.324424	CNVR_2060	0.414612
CNVR_0245	0.506490746	CNVR_1383	0.324587	CNVR_0660	0.420235
CNVR_0106	0.515021679	CNVR_0905	0.329067	CNVR_0432	0.420244
CNVR_1185	0.515283499	CNVR_1256	0.329664	CNVR_0876	0.42095
CNVR_0084	0.515403738	CNVR_1988	0.330455	CNVR_1894	0.421573
CNVR_0269	0.515973621	CNVR_1624	0.333282	CNVR_0632	0.424844
CNVR_0010	0.517166067	CNVR_1400	0.333663	CNVR_1965	0.427686
CNVR_0309	0.521057179	CNVR_0417	0.337177	CNVR_0570	0.432284
CNVR_1407	0.52288646	CNVR_0885	0.337254	CNVR_1833	0.432463
CNVR_1921	0.523378684	CNVR_1921	0.337919	CNVR_0395	0.434913
CNVR_0875	0.523696262	CNVR_0554	0.338002	CNVR_0940	0.435843
CNVR_0216	0.525320715	CNVR_2098	0.338084	CNVR_2038	0.435921
CNVR_1633	0.528643404	CNVR_2241	0.338883	CNVR_0149	0.437174
CNVR_0734	0.532066367	CNVR_1623	0.34014	CNVR_2089	0.440611
CNVR_0689	0.53912549	CNVR_1715	0.340467	CNVR_1473	0.443917

Supp. Tbl. 2.1. Continued

CNVR_2152	0.542562558	CNVR_1833	0.340595	CNVR_1983	0.447395
CNVR_0063	0.54337445	CNVR_1611	0.34109	CNVR_1359	0.448745
CNVR_0149	0.543929363	CNVR_1342	0.341118	CNVR_1107	0.448907
CNVR_0637	0.544554965	CNVR_1759	0.341574	CNVR_0635	0.45229
CNVR_1988	0.545450591	CNVR_0547	0.341976	CNVR_0868	0.452618
CNVR_1274	0.546548081	CNVR_2194	0.342179	CNVR_1832	0.452837
CNVR_1095	0.546646775	CNVR_0237	0.342785	CNVR_1752	0.456635
CNVR_2054	0.549154429	CNVR_1222	0.343497	CNVR_1787	0.459803
CNVR_1077	0.550851809	CNVR_0010	0.344484	CNVR_0571	0.463383
CNVR_1370	0.552403756	CNVR_0620	0.345165	CNVR_1707	0.476333
CNVR_0735	0.552852954	CNVR_1351	0.346592	CNVR_1108	0.479237
CNVR_1047	0.560036206	CNVR_0746	0.350347	CNVR_1633	0.486882
CNVR_1187	0.563847152	CNVR_0409	0.354334	CNVR_1533	0.487726
CNVR_2102	0.566307761	CNVR_0965	0.35586	CNVR_0470	0.489644
CNVR_0112	0.566973515	CNVR_1725	0.358387	CNVR_1342	0.489856
CNVR_0321	0.574370336	CNVR_2264	0.358461	CNVR_0919	0.492929
CNVR_1188	0.57639788	CNVR_2090	0.358646	CNVR_1119	0.494754
CNVR_1726	0.580114693	CNVR_0660	0.361515	CNVR_0224	0.496761
CNVR_2174	0.582134018	CNVR_0610	0.362367	CNVR_0106	0.497812
CNVR_0536	0.586055988	CNVR_1293	0.363347	CNVR_1222	0.499582
CNVR_1838	0.590667282	CNVR_0593	0.363659	CNVR_1304	0.503541
CNVR_1990	0.59183767	CNVR_1829	0.364313	CNVR_0331	0.504547
CNVR_1930	0.591920252	CNVR_0663	0.366271	CNVR_1474	0.507492
CNVR_1640	0.594874442	CNVR_1233	0.368664	CNVR_1905	0.507823
CNVR_2051	0.595796923	CNVR_0251	0.368894	CNVR_0320	0.510656
CNVR_0885	0.597868322	CNVR_0571	0.369361	CNVR_0915	0.5109
CNVR_1820	0.59940217	CNVR_0248	0.369512	CNVR_1647	0.511797
CNVR_1013	0.602877082	CNVR_1954	0.371435	CNVR_1317	0.512858
CNVR_1829	0.603593319	CNVR_0125	0.372098	CNVR_1935	0.515449
CNVR_1203	0.606896359	CNVR_0956	0.372415	CNVR_0606	0.51688
CNVR_0232	0.607271064	CNVR_1533	0.379195	CNVR_1444	0.517524
CNVR_0006	0.608303438	CNVR_0008	0.383717	CNVR_0502	0.518799
CNVR_1647	0.611329121	CNVR_1990	0.384717	CNVR_1144	0.521745
CNVR_1233	0.611657908	CNVR_0968	0.38813	CNVR_0090	0.52249
CNVR_1189	0.612282582	CNVR_1972	0.389229	CNVR_1203	0.52422
CNVR_1469	0.612987289	CNVR_0585	0.389352	CNVR_1972	0.525088
CNVR_2210	0.613191851	CNVR_1680	0.391567	CNVR_2090	0.525193
CNVR_0956	0.61596022	CNVR_1690	0.394229	CNVR_1340	0.537771
CNVR_0794	0.616538033	CNVR_2174	0.395206	CNVR_2245	0.540141

Supp. Tbl. 2.1. Continued

CNVR_1570	0.619367966	CNVR_0385	0.405193	CNVR_2103	0.542406
CNVR_0738	0.62107015	CNVR_2071	0.406967	CNVR_2202	0.542557
CNVR_0250	0.622889942	CNVR_0748	0.408374	CNVR_1821	0.542625
CNVR_2059	0.624850971	CNVR_0821	0.408958	CNVR_0075	0.544846
CNVR_1702	0.627319642	CNVR_2072	0.408982	CNVR_1813	0.546327
CNVR_2237	0.627567086	CNVR_1047	0.411082	CNVR_0643	0.547823
CNVR_1023	0.630124004	CNVR_0895	0.41109	CNVR_2009	0.548626
CNVR_0852	0.630878527	CNVR_0470	0.411409	CNVR_0525	0.553185
CNVR_2243	0.632486122	CNVR_1354	0.412799	CNVR_1874	0.555976
CNVR_0908	0.635212908	CNVR_1161	0.412821	CNVR_1634	0.559665
CNVR_0125	0.63527982	CNVR_1263	0.413166	CNVR_1978	0.561392
CNVR_2232	0.637466332	CNVR_1167	0.415639	CNVR_0248	0.564226
CNVR_1088	0.638944176	CNVR_1978	0.417512	CNVR_0616	0.564886
CNVR_0221	0.645103991	CNVR_1652	0.418163	CNVR_2098	0.567012
CNVR_0034	0.646259493	CNVR_0940	0.424137	CNVR_0412	0.567729
CNVR_2200	0.647394944	CNVR_1340	0.426668	CNVR_1164	0.567984
CNVR_2024	0.647723764	CNVR_1455	0.426749	CNVR_1836	0.569905
CNVR_0570	0.648628347	CNVR_2039	0.42774	CNVR_0189	0.573111
CNVR_0651	0.654081531	CNVR_0194	0.429715	CNVR_0281	0.57434
CNVR_1272	0.658196394	CNVR_0421	0.43189	CNVR_0890	0.574972
CNVR_0200	0.66107243	CNVR_2102	0.433798	CNVR_0145	0.57653
CNVR_1086	0.664093396	CNVR_0320	0.437989	CNVR_0722	0.576747
CNVR_2148	0.667319808	CNVR_0112	0.438181	CNVR_1611	0.579142
CNVR_1926	0.669018095	CNVR_0734	0.439008	CNVR_2071	0.58118
CNVR_0965	0.672905051	CNVR_0842	0.439343	CNVR_1783	0.582476
CNVR_1368	0.678221034	CNVR_0919	0.443136	CNVR_0107	0.582519
CNVR_1891	0.679591513	CNVR_1308	0.444706	CNVR_1885	0.582701
CNVR_1764	0.680452056	CNVR_0764	0.455049	CNVR_1161	0.585511
CNVR_1715	0.680456207	CNVR_1965	0.457717	CNVR_2194	0.586724
CNVR_0145	0.681782642	CNVR_0107	0.457998	CNVR_2039	0.588615
CNVR_1830	0.683626035	CNVR_1914	0.45813	CNVR_0385	0.594324
CNVR_1690	0.683900491	CNVR_0364	0.458269	CNVR_2051	0.604225
CNVR_1256	0.686665985	CNVR_1820	0.461279	CNVR_0620	0.605812
CNVR_1787	0.689945643	CNVR_1758	0.463878	CNVR_0554	0.610336
CNVR_2128	0.6900729	CNVR_0073	0.464786	CNVR_0547	0.612881
CNVR_1015	0.692257865	CNVR_1237	0.465354	CNVR_1081	0.617642
CNVR_0722	0.692819991	CNVR_1983	0.4655	CNVR_1881	0.618619
CNVR_2213	0.693279729	CNVR_2127	0.466021	CNVR_1954	0.620539
CNVR_2241	0.702703417	CNVR_1935	0.467551	CNVR_1307	0.623591

Supp. Tbl. 2.1. Continued

CNVR_1090	0.703726175	CNVR_0088	0.469512	CNVR_0746	0.625403
CNVR_1785	0.70377787	CNVR_2011	0.471537	CNVR_1278	0.626328
CNVR_0830	0.708114532	CNVR_0589	0.47521	CNVR_2128	0.628047
CNVR_0465	0.709176128	CNVR_1090	0.475641	CNVR_1190	0.629977
CNVR_0050	0.710384752	CNVR_1752	0.47929	CNVR_0218	0.630354
CNVR_0412	0.711518528	CNVR_2115	0.479351	CNVR_1446	0.631367
CNVR_0512	0.712423247	CNVR_0177	0.483933	CNVR_2124	0.631534
CNVR_0088	0.717514252	CNVR_0512	0.484098	CNVR_0118	0.633582
CNVR_1821	0.720149061	CNVR_2030	0.485205	CNVR_2264	0.636648
CNVR_2089	0.720664021	CNVR_0777	0.48825	CNVR_0498	0.639549
CNVR_1502	0.725717078	CNVR_1486	0.492776	CNVR_1400	0.641061
CNVR_1108	0.726162433	CNVR_0076	0.493369	CNVR_0738	0.64379
CNVR_2175	0.727457672	CNVR_1894	0.50116	CNVR_0221	0.644139
CNVR_1313	0.728959652	CNVR_1088	0.501313	CNVR_0589	0.647401
CNVR_1599	0.732251546	CNVR_1374	0.507223	CNVR_0200	0.647748
CNVR_1670	0.734643008	CNVR_1577	0.508919	CNVR_1730	0.648753
CNVR_1295	0.740467945	CNVR_0616	0.509964	CNVR_2243	0.650076
CNVR_2103	0.740470386	CNVR_1502	0.510507	CNVR_1121	0.654754
CNVR_0219	0.740588861	CNVR_2119	0.512446	CNVR_1623	0.656464
CNVR_1634	0.741710877	CNVR_1121	0.51377	CNVR_2157	0.6571
CNVR_0968	0.742092407	CNVR_0034	0.519698	CNVR_0417	0.665303
CNVR_1885	0.74264059	CNVR_1730	0.519963	CNVR_0761	0.665862
CNVR_2157	0.743628137	CNVR_2237	0.522139	CNVR_0261	0.666494
CNVR_1317	0.743729161	CNVR_2149	0.526759	CNVR_0247	0.670066
CNVR_0096	0.74443516	CNVR_0502	0.530001	CNVR_0585	0.671027
CNVR_1304	0.745468538	CNVR_2251	0.535253	CNVR_1854	0.671485
CNVR_0160	0.748673658	CNVR_0224	0.535458	CNVR_2143	0.671706
CNVR_0554	0.753315241	CNVR_1436	0.536501	CNVR_1015	0.672078
CNVR_0911	0.753771217	CNVR_0232	0.54003	CNVR_0019	0.674882
CNVR_0663	0.755824136	CNVR_0456	0.542542	CNVR_0748	0.676858
CNVR_2264	0.755924637	CNVR_2069	0.542648	CNVR_1670	0.677716
CNVR_1568	0.756685645	CNVR_1030	0.545439	CNVR_1614	0.679536
CNVR_0177	0.759656853	CNVR_1444	0.54555	CNVR_2241	0.680388
CNVR_2083	0.759738011	CNVR_1216	0.546338	CNVR_1926	0.68398
CNVR_1156	0.762633159	CNVR_1599	0.549044	CNVR_1680	0.685373
CNVR_0547	0.763997678	CNVR_1736	0.550393	CNVR_0663	0.68568
CNVR_2011	0.768523777	CNVR_2210	0.550905	CNVR_1785	0.687382
CNVR_0281	0.768773981	CNVR_2083	0.555595	CNVR_0165	0.687468
CNVR_0421	0.769677558	CNVR_1836	0.558651	CNVR_0391	0.689027

Supp. Tbl. 2.1. Continued

CNVR_1263	0.769703931	CNVR_2124	0.55918	CNVR_0821	0.690771
CNVR_1144	0.771909705	CNVR_1277	0.559302	CNVR_0364	0.697859
CNVR_0585	0.773739452	CNVR_0096	0.571865	CNVR_0194	0.698594
CNVR_2239	0.774280573	CNVR_0482	0.574268	CNVR_0734	0.703274
CNVR_1328	0.775490316	CNVR_0639	0.574839	CNVR_1313	0.706645
CNVR_1832	0.776302994	CNVR_0847	0.576804	CNVR_0735	0.707859
CNVR_1954	0.777671262	CNVR_1039	0.57681	CNVR_1023	0.710084
CNVR_1825	0.780678122	CNVR_0123	0.582182	CNVR_0968	0.710716
CNVR_0331	0.781936404	CNVR_0852	0.587895	CNVR_1374	0.710992
CNVR_0764	0.785159295	CNVR_1642	0.58939	CNVR_0421	0.71383
CNVR_0748	0.785689097	CNVR_1061	0.59097	CNVR_1726	0.716561
CNVR_2194	0.786341428	CNVR_1879	0.591476	CNVR_0212	0.719077
CNVR_1107	0.786440929	CNVR_0651	0.596852	CNVR_1130	0.729108
CNVR_0194	0.789486969	CNVR_2246	0.598963	CNVR_0908	0.729848
CNVR_1611	0.791505771	CNVR_2181	0.601334	CNVR_1786	0.7345
CNVR_2069	0.792605485	CNVR_1253	0.605718	CNVR_1256	0.737128
CNVR_2262	0.792949313	CNVR_2202	0.605898	CNVR_0536	0.74188
CNVR_2098	0.800839219	CNVR_0395	0.611426	CNVR_2152	0.745636
CNVR_2245	0.801535546	CNVR_0164	0.611436	CNVR_0742	0.746492
CNVR_1030	0.803568577	CNVR_1974	0.612761	CNVR_1568	0.747303
CNVR_0821	0.803595921	CNVR_1086	0.619782	CNVR_1095	0.749169
CNVR_1758	0.803728576	CNVR_0432	0.631866	CNVR_0911	0.749826
CNVR_2009	0.807544903	CNVR_1255	0.633369	CNVR_1002	0.749883
CNVR_1084	0.807902202	CNVR_1058	0.634475	CNVR_0321	0.750669
CNVR_0620	0.807928187	CNVR_0106	0.638502	CNVR_1715	0.750715
CNVR_2038	0.811631272	CNVR_2059	0.641257	CNVR_1592	0.751062
CNVR_0073	0.811658531	CNVR_1368	0.644378	CNVR_0073	0.751348
CNVR_0632	0.81211763	CNVR_1707	0.646114	CNVR_1830	0.751824
CNVR_0247	0.812733136	CNVR_0876	0.648167	CNVR_1758	0.754617
CNVR_1374	0.813280675	CNVR_0849	0.652087	CNVR_0018	0.754725
CNVR_0075	0.813750246	CNVR_0908	0.654305	CNVR_0764	0.757955
CNVR_1436	0.820908094	CNVR_0006	0.654853	CNVR_1974	0.758772
CNVR_0123	0.822410239	CNVR_0841	0.655251	CNVR_2119	0.75992
CNVR_1307	0.823115212	CNVR_0162	0.655554	CNVR_0664	0.76058
CNVR_2246	0.82358608	CNVR_0169	0.655608	CNVR_0219	0.761777
CNVR_2060	0.825295236	CNVR_0830	0.656211	CNVR_1736	0.766852
CNVR_0482	0.828303296	CNVR_0223	0.657222	CNVR_0794	0.773283
CNVR_0165	0.829246198	CNVR_0160	0.657222	CNVR_1368	0.773898
CNVR_1972	0.830200343	CNVR_0498	0.658321	CNVR_1436	0.782388

Supp. Tbl. 2.1. Continued

CNVR_0849	0.830670313	CNVR_1119	0.659159	CNVR_0753	0.782575
CNVR_1216	0.830810695	CNVR_1295	0.660104	CNVR_2054	0.785555
CNVR_0385	0.831753372	CNVR_2239	0.66423	CNVR_0232	0.785715
CNVR_0409	0.833012392	CNVR_0189	0.664232	CNVR_1721	0.786255
CNVR_1222	0.833746396	CNVR_0309	0.666138	CNVR_1640	0.788451
CNVR_2119	0.835211044	CNVR_0458	0.666777	CNVR_0965	0.788659
CNVR_1788	0.836523964	CNVR_0261	0.670203	CNVR_1725	0.789488
CNVR_2090	0.838188376	CNVR_2175	0.670221	CNVR_1494	0.793054
CNVR_0164	0.838951718	CNVR_1156	0.67066	CNVR_0184	0.79447
CNVR_0761	0.839611968	CNVR_1582	0.678136	CNVR_0993	0.795518
CNVR_1315	0.839836322	CNVR_1825	0.684651	CNVR_0852	0.795803
CNVR_1161	0.841491256	CNVR_1359	0.685699	CNVR_1759	0.797956
CNVR_0548	0.843256488	CNVR_1693	0.696551	CNVR_1066	0.799407
CNVR_2014	0.84504204	CNVR_1164	0.699012	CNVR_0223	0.800925
CNVR_2039	0.845746401	CNVR_1783	0.699531	CNVR_1407	0.804828
CNVR_0364	0.847597677	CNVR_1315	0.70032	CNVR_2015	0.807381
CNVR_2251	0.847667486	CNVR_1274	0.704294	CNVR_1469	0.807715
CNVR_1978	0.849244871	CNVR_2262	0.70472	CNVR_1086	0.809959
CNVR_0746	0.854128102	CNVR_1633	0.712672	CNVR_1656	0.814001
CNVR_2072	0.861064022	CNVR_0118	0.718098	CNVR_1345	0.824746
CNVR_1642	0.862326746	CNVR_0881	0.718891	CNVR_0743	0.828016
CNVR_0184	0.862821977	CNVR_1854	0.721223	CNVR_0939	0.828538
CNVR_1736	0.863236829	CNVR_1614	0.726644	CNVR_0651	0.831605
CNVR_0019	0.867188778	CNVR_1343	0.72893	CNVR_0463	0.834344
CNVR_0814	0.868601023	CNVR_0154	0.734868	CNVR_0830	0.837126
CNVR_2165	0.869228407	CNVR_0200	0.737917	CNVR_0123	0.841104
CNVR_0432	0.870978621	CNVR_2038	0.738384	CNVR_1870	0.84119
CNVR_1359	0.871008784	CNVR_1832	0.738834	CNVR_1570	0.842856
CNVR_1486	0.871584689	CNVR_1909	0.744698	CNVR_1702	0.843347
CNVR_1085	0.872417313	CNVR_2118	0.74577	CNVR_2210	0.844328
CNVR_2234	0.874931207	CNVR_0221	0.749298	CNVR_1395	0.849025
CNVR_0018	0.879382934	CNVR_0050	0.749406	CNVR_1690	0.853128
CNVR_0660	0.879390182	CNVR_1788	0.753043	CNVR_2102	0.854766
CNVR_2071	0.88026757	CNVR_0250	0.755217	CNVR_0177	0.855424
CNVR_1340	0.88369463	CNVR_1494	0.758818	CNVR_2047	0.855548
CNVR_0456	0.88621487	CNVR_1260	0.765639	CNVR_1838	0.856103
CNVR_0395	0.88703783	CNVR_2143	0.768073	CNVR_1027	0.858799
CNVR_1383	0.88736818	CNVR_2213	0.771685	CNVR_0637	0.863866
CNVR_1879	0.88862702	CNVR_2232	0.776606	CNVR_0164	0.864131

Supp. Tbl. 2.1. Continued

CNVR_0107	0.889018782	CNVR_2107	0.777316	CNVR_0531	0.869072
CNVR_0223	0.890253115	CNVR_1647	0.7803	CNVR_0894	0.872476
CNVR_2162	0.890616769	CNVR_1755	0.780401	CNVR_1188	0.877086
CNVR_1582	0.89197037	CNVR_0875	0.782646	CNVR_1755	0.879525
CNVR_1058	0.895158089	CNVR_0219	0.786218	CNVR_1582	0.88025
CNVR_1277	0.898560431	CNVR_1971	0.786325	CNVR_0905	0.882863
CNVR_1121	0.901750773	CNVR_2162	0.792207	CNVR_0245	0.887725
CNVR_1974	0.902722661	CNVR_0149	0.795654	CNVR_1458	0.888601
CNVR_1164	0.904176134	CNVR_0531	0.79588	CNVR_1343	0.890231
CNVR_1577	0.905743642	CNVR_2014	0.797264	CNVR_1030	0.891142
CNVR_1707	0.912748429	CNVR_0269	0.798881	CNVR_0010	0.891325
CNVR_1533	0.914088698	CNVR_1568	0.806497	CNVR_1295	0.894691
CNVR_0616	0.91507572	CNVR_1318	0.807135	CNVR_0558	0.89571
CNVR_1130	0.915570464	CNVR_1203	0.807294	CNVR_0548	0.89627
CNVR_1343	0.91889468	CNVR_2245	0.815749	CNVR_1047	0.896635
CNVR_1624	0.92098975	CNVR_1656	0.819317	CNVR_0094	0.897196
CNVR_1592	0.922903057	CNVR_0911	0.822117	CNVR_0462	0.898941
CNVR_1119	0.923487632	CNVR_1198	0.829083	CNVR_1077	0.899951
CNVR_1677	0.925367677	CNVR_1013	0.834603	CNVR_0493	0.900721
CNVR_1318	0.926214361	CNVR_1891	0.83474	CNVR_1820	0.903388
CNVR_2143	0.930035109	CNVR_1313	0.83723	CNVR_0816	0.906345
CNVR_2124	0.93267596	CNVR_1662	0.839253	CNVR_1318	0.906884
CNVR_1755	0.935653015	CNVR_0548	0.840829	CNVR_1088	0.910758
CNVR_0531	0.936740524	CNVR_2145	0.849247	CNVR_1156	0.911315
CNVR_0320	0.937689089	CNVR_0145	0.851465	CNVR_1532	0.913872
CNVR_0118	0.941545369	CNVR_1272	0.862941	CNVR_0403	0.914938
CNVR_0639	0.942104203	CNVR_0403	0.865392	CNVR_0125	0.914961
CNVR_0940	0.942352407	CNVR_0217	0.867917	CNVR_1677	0.917861
CNVR_0919	0.94323468	CNVR_1592	0.869862	CNVR_0063	0.919702
CNVR_0470	0.94462729	CNVR_1130	0.870269	CNVR_0708	0.921044
CNVR_1783	0.945148182	CNVR_1670	0.880986	CNVR_2014	0.927443
CNVR_1859	0.945514313	CNVR_1636	0.882907	CNVR_1502	0.928301
CNVR_1935	0.946367731	CNVR_0075	0.884186	CNVR_0034	0.931084
CNVR_0251	0.954313117	CNVR_1395	0.888799	CNVR_1921	0.931488
CNVR_1730	0.957559954	CNVR_0322	0.901778	CNVR_0295	0.93195
CNVR_1395	0.957874013	CNVR_2024	0.904088	CNVR_0056	0.933616
CNVR_2030	0.958773916	CNVR_0216	0.905012	CNVR_1246	0.937479
CNVR_2047	0.962693856	CNVR_1307	0.907577	CNVR_1090	0.93878
CNVR_0403	0.964459952	CNVR_0689	0.914138	CNVR_0885	0.941457

Supp. Tbl. 2.1. Continued

CNVR_1652	0.966142639	CNVR_0019	0.919754	CNVR_0096	0.943959
CNVR_1983	0.971724513	CNVR_1108	0.923862	CNVR_1700	0.945451
CNVR_1308	0.971962883	CNVR_2047	0.923913	CNVR_1370	0.947664
CNVR_0610	0.972868084	CNVR_0761	0.925139	CNVR_0956	0.948274
CNVR_0189	0.97309586	CNVR_1187	0.927993	CNVR_1138	0.949686
CNVR_0052	0.973380333	CNVR_1513	0.950928	CNVR_0084	0.949711
CNVR_0571	0.974735735	CNVR_1268	0.953117	CNVR_1599	0.953029
CNVR_1494	0.975649412	CNVR_2200	0.955177	CNVR_1829	0.958263
CNVR_0498	0.976654834	CNVR_2103	0.955489	CNVR_0949	0.961667
CNVR_0502	0.981937262	CNVR_1677	0.956386	CNVR_1355	0.962281
CNVR_1836	0.982250513	CNVR_1821	0.957628	CNVR_1214	0.962606
CNVR_0895	0.983803453	CNVR_1555	0.957847	CNVR_1315	0.965
CNVR_2202	0.984125236	CNVR_0018	0.959122	CNVR_0512	0.9664
CNVR_1914	0.986553435	CNVR_0137	0.961777	CNVR_1233	0.967656
CNVR_1614	0.989448322	CNVR_1841	0.968581	CNVR_2162	0.970014
CNVR_0785	0.991500657	CNVR_0570	0.971577	CNVR_1148	0.973925
CNVR_0224	0.992043783	CNVR_0247	0.971895	CNVR_0128	0.978455
CNVR_1656	0.994289114	CNVR_0184	0.976977	CNVR_1990	0.987382
CNVR_1444	0.994409653	CNVR_2148	0.985161	CNVR_1900	0.987913
CNVR_0261	0.995094473	CNVR_1826	0.99405	CNVR_1788	0.990494
CNVR_1167	0.998420039	CNVR_1956	0.996512	CNVR_1185	0.992993
CNVR_1854	0.999899578	CNVR_0165	0.998806	CNVR_1988	0.998016

APPENDIX 2.2

Supplementary Table 2.2. Joint analysis of *TRPM2* SNP UKUL3936 (Comparison 1). Results of joint analysis comparing clinical foals with the combined subclinical and unaffected foals (comparison 1).

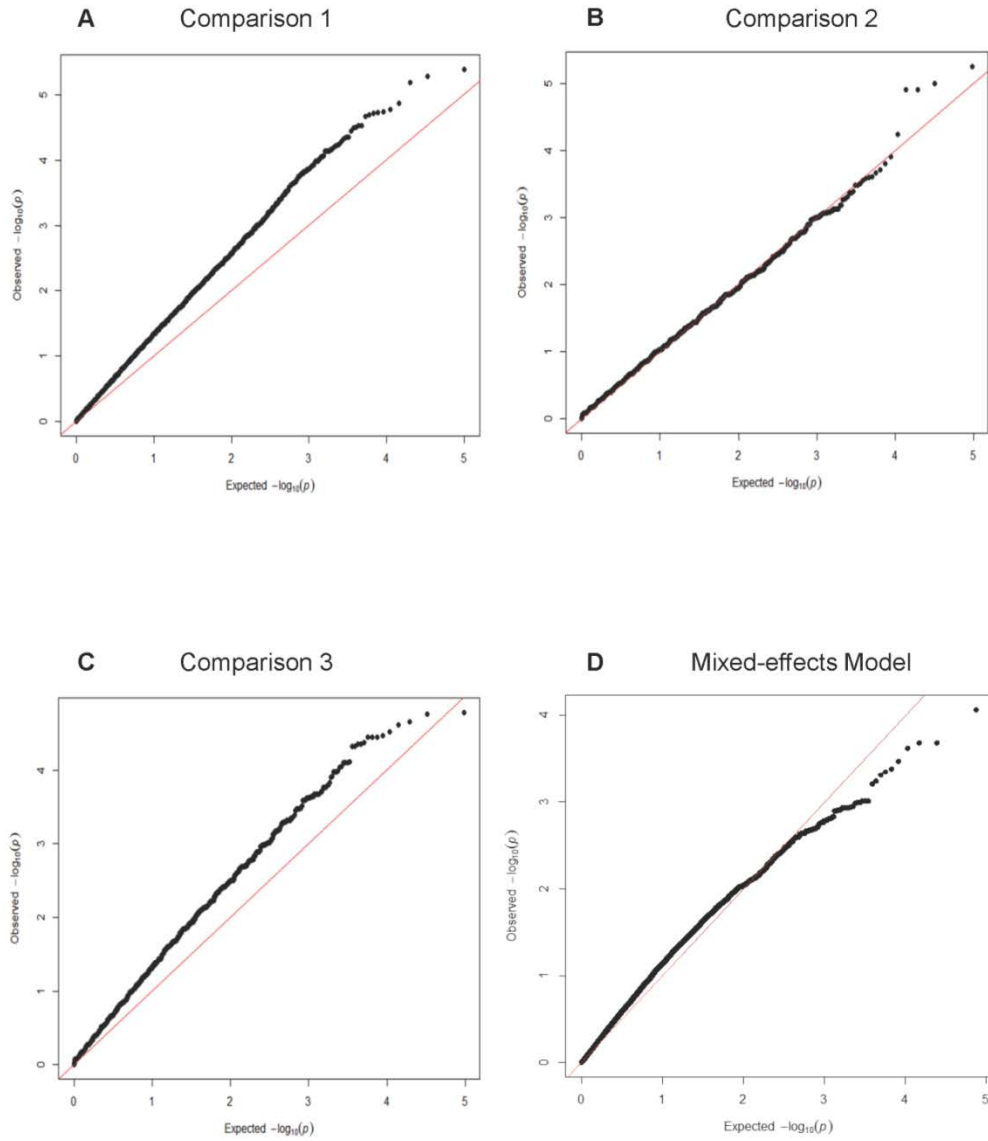
Genotype				
<i>Standard Model</i>	Clinical foals	Subclinical + Unaffected foals	P value	Odds Ratio (95% CI)
AA	72% (31/43)	43% (89/205)	NA	1 (NA)
AB	23% (10/43)	46% (95/205)	0.0016	0.29 (0.11 to 0.62)
BB	5% (2/43)	11% (21/205)	0.0826	0.27 (0.06 to 1.18)
<i>Dominant Model</i>				
Not AA	28% (12/43)	57% (116/205)	NA	1 (NA)
AA	72% (31/43)	43% (89/205)	0.0007	3.50 (1.71 to 7.16)
<i>Additive Model</i>				
f(A)*	2(0 to 2)	1(0 to 2)	0.0019	2.71 (1.45 to 5.06)
	84% (72/86)	66% (271/410)		
<p>* Median (range) reported for frequency of allele A, along with the proportion of A alleles among all alleles represented for each group. Joint analysis includes genotypes derived from SNP array and PCR genotyping.</p>				

APPENDIX 2.3

Supplementary Table 2.3. Joint analysis of *TRPM2* SNP UKUL3936 (Comparison 3). Results of the joint analysis comparing clinical foals with unaffected foals.

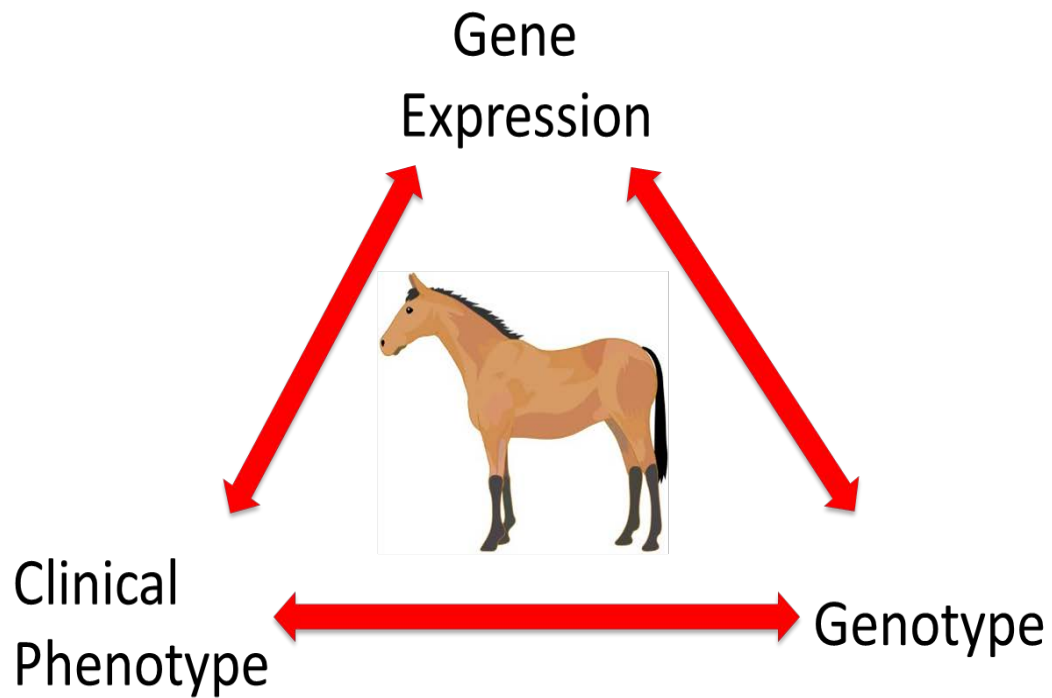
Genotype				
<i>Standard Model</i>	Clinical foals	Unaffected foals	P value	Odds Ratio (95% CI)
AA	72% (31/43)	47% (23/49)	NA	1 (NA)
AB	23% (10/43)	47% (23/49)	0.0177	0.32 (0.13 to 0.81)
BB	5% (2/43)	6% (3/49)	0.4622	0.49 (0.08 to 3.20)
<i>Dominant Model</i>				
Not AA	28% (12/43)	47% (23/49)	NA	1 (NA)
AA	72% (31/43)	53% (26/49)	0.0179	2.92 (1.22 to 6.98)
<i>Additive Model</i>				
f(A)*	2(0 to 2)	1(0 to 2)	0.0398	2.20 (1.05 to 4.64)
	84% (72/86)	70% (69/98)		
<p>* Median (range) reported for frequency of allele A, along with the proportion of A alleles among all alleles represented for each group. Joint analysis includes genotypes derived from SNP array and PCR genotyping.</p>				

APPENDIX 2.4



Supplementary Figure 2.1. Quantile-quantile (QQ) plots of expected chi-squared significance values plotted against the observed values. QQ plots for (A) comparison 1, (B) comparison 2, (C) comparison 3, and (D) mixed-effects model.

APPENDIX 3.1



Supplementary Figure 3.1. Gene expression, clinical phenotype, and genotype relationship.